# ON RANK-MONOTONE GRAPH OPERATIONS AND MINIMAL OBSTRUCTION GRAPHS FOR THE LOVÁSZ–SCHRIJVER SDP HIERARCHY

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ABSTRACT. We study the lift-and-project rank of the stable set polytopes of graphs with respect to the Lovász–Schrijver SDP operator LS<sub>+</sub>, with a particular focus on finding and characterizing the smallest graphs with a given LS<sub>+</sub>-rank (the least number of iterations of the LS<sub>+</sub> operator on the fractional stable set polytope to compute the stable set polytope). We introduce a generalized vertex-stretching operation that appears to be promising in generating LS<sub>+</sub>-minimal graphs and study its properties. We also provide several new LS<sub>+</sub>-minimal graphs, most notably the first known instances of 12-vertex graphs with LS<sub>+</sub>-rank 4, which provides the first advance in this direction since Escalante, Montelar, and Nasini's discovery of a 9-vertex graph with LS<sub>+</sub>-rank 3 in 2006.

#### 1. Introduction

Given a simple, undirected graph G = (V(G), E(G)), we say that  $S \subseteq V(G)$  is a stable set if no two vertices in S are joined by an edge. The (maximum) stable set problem, which aims to find a stable set of maximum cardinality in a given graph G, is one of the most well-studied problems in combinatorial optimization. While this problem is  $\mathcal{NP}$ -hard, a standard approach for tackling the problem is to associate stable sets of G with points in  $\mathbb{R}^{V(G)}$ , and model it as a convex optimization problem. Given a set  $S \subseteq V(G)$ , its incidence vector  $\chi_S \in \{0,1\}^{V(G)}$  is defined so that  $[\chi_S]_i = 1$  if  $i \in S$ , and  $[\chi_S]_i = 0$  otherwise. Then we define the stable set polytope of a given graph G to be the convex hull of the incidence vectors of stable sets of G:

$$STAB(G) := conv(\{\chi_S : S \subseteq V(G) \text{ is a stable set of } G\}).$$

Observe that if we let  $\alpha(G)$  be the cardinality of a maximum stable set in G, then

(1) 
$$\alpha(G) = \max \left\{ \sum_{i \in V(G)} x_i : x \in STAB(G) \right\}.$$

While (1) is a linear program, considering again that the underlying combinatorial problem is  $\mathcal{NP}$ -hard, it is a difficult task to find an explicit description (e.g., via listing its facets) of STAB(G) for a general graph G. This naturally leads to the pursuit of "nice" convex relaxations of STAB(G). Below we list several desirable characteristics of such a convex relaxation P:

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- $P \cap \{0,1\}^{V(G)} = \text{STAB}(G) \cap \{0,1\}^{V(G)}$ . That is, a 0-1 vector is in P if and only if it is the incidence vector of a stable set in G.
- P is tractable. That is, one can optimize a linear function over P with arbitrary precision in polynomial time.
- P is a "strong" relaxation. This is a relatively subjective measure, and can mean that important families of valid inequalities of STAB(G) are also valid for P, and/or that  $\max \left\{ \sum_{i \in V(G)} x_i : x \in P \right\}$  is "close" to  $\alpha(G)$ .

One of the simplest convex relaxations of STAB(G) is the fractional stable set polytope

$$\operatorname{FRAC}(G) \coloneqq \left\{ x \in [0, 1]^{V(G)} : x_i + x_j \le 1, \forall \left\{ i, j \right\} \in E(G) \right\}.$$

While FRAC(G) is both a correct and tractable relaxation, it is rather weak in general. For a stronger relaxation, we call  $C \subseteq V(G)$  a *clique* if every pair of vertices in C is joined by an edge. Then notice that, for every clique C, the inequality

$$\sum_{i \in C} x_i \le 1$$

is valid for STAB(G). Thus, if we define the *clique polytope* 

$$\operatorname{CLIQ}(G) := \left\{ x \in [0,1]^{V(G)} : \sum_{i \in C} x_i \le 1 \text{ for every clique } C \subseteq V(G) \right\},$$

then  $STAB(G) \subseteq CLIQ(G) \subseteq FRAC(G)$  for every graph G. (For the second containment, observe that every edge is a clique of size 2.) However, while CLIQ(G) is a stronger relaxation than FRAC(G), it is not tractable in general.

In this manuscript, we focus on semidefinite relaxations of STAB(G) produced by  $LS_+$ , a lift-and-project operator devised by Lovász and Schrijver [LS91] which we will fully define in Section 2. (The operator has also been referred to as  $N_+$  in the literature.) Given a graph G, the  $LS_+$  operator generates a sequence of relaxations  $LS_+^k(G)$  which satisfies

$$\operatorname{FRAC}(G) =: \operatorname{LS}^0_+(G) \supseteq \operatorname{LS}^1_+(G) \supseteq \operatorname{LS}^2_+(G) \supseteq \cdots \supseteq \operatorname{LS}^{|V(G)|}_+(G) = \operatorname{STAB}(G).$$

(We will usually refer to  $LS^1_+(G)$  as simply  $LS_+(G)$ .) When  $k \in O(1)$ ,  $LS^k_+(G)$  can be described as the feasible region of a semidefinite program whose number of variables and constraints are polynomial in the size of the number of vertices and edges in G, and thus the relaxation is indeed tractable in this case. Moreover, the first relaxation  $LS_+(G)$  already satisfies many well-known families of valid inequalities of STAB(G), including (among others) the aforementioned clique inequalities, odd hole and odd antihole constraints, odd wheel constraints, and orthogonality constraints imposed by the Lovász theta body [Lov79].

The hierarchy of relaxations generated by LS<sub>+</sub> gives rise to the notion of the LS<sub>+</sub>-rank of a graph G, which is defined to be the smallest integer k where LS<sub>+</sub>(G) = STAB(G), and gives us a measure of how difficult the stable set problem is for the LS<sub>+</sub> operator. It is well-known that a graph G has LS<sub>+</sub>-rank 0 (i.e., satisfies FRAC(G) = STAB(G)) if and only if G is bipartite. Some families of graphs that are known to have LS<sub>+</sub>-rank 1 (i.e., satisfies LS<sub>+</sub>(G) = STAB(G)) include — but are not limited to — odd cycles, odd antiholes, odd wheels, and perfect graphs (which are defined to be graphs where CLIQ(G) = STAB(G)). In the last decade, considerable progress has been made in finding a combinatorial characterization of graphs with LS<sub>+</sub>-rank 1 — see, for instance, [BENT13, BENT17, Wag22, BENW23].

Nevertheless, since the maximum stable problem is  $\mathcal{NP}$ -hard, there has to be graphs with unbounded LS<sub>+</sub>-rank. The first family of graphs that have unbounded LS<sub>+</sub>-rank was obtained

by Stephen and the second author [ST99], who showed that the line graph of the complete graph on 2k+1 vertices has LS<sub>+</sub>-rank k, giving a family of graphs G whose LS<sub>+</sub>-rank is asymptotically  $\Omega(\sqrt{|V(G)|})$ . On the other hand, Lipták and the second author [LT03] showed the following:

**Theorem 1.** For every graph 
$$G$$
, the LS<sub>+</sub>-rank of  $G$  is at most  $\left\lfloor \frac{|V(G)|}{3} \right\rfloor$ .

This begs the natural question: For every integer  $\ell \geq 1$ , is there a graph on  $3\ell$  vertices which has LS<sub>+</sub>-rank  $\ell$ ? If these graphs exist, their extremal nature (in terms of being the smallest possible graphs with a given LS<sub>+</sub>-rank) may help reveal the critical structures that expose the limitations of these LS<sub>+</sub>-relaxations. This understanding could be extremely helpful when it comes to analyzing other convex relaxations of the maximum stable set problem, particularly those which are produced by other lift-and-project methods.

This direction of investigation was already set in the seminal paper [LS91] and questions about the behaviour of LS<sub>+</sub>-rank under simple graph operations were also raised in [GT01]. In the same general direction of research, Laurent [Lau02] analyzed the LS<sub>+</sub>-rank and related ranks in the context of the maximum cut problem by establishing nice behaviour (only in the context of maximum cut problems) of the underlying lift-and-project operators under graph minor operations; also see [Lau03] for an analysis of the Lasserre operator. However, as it was illustrated in some depth in [LT03], the LS<sub>+</sub>-rank of a graph does not behave in a nice, uniform way under the usual graph minor operations for the stable set problem. Therefore, a deeper investigation is necessary to construct the kind of graph operations which would be helpful in discovering and understanding minimal obstructions to tractable convex relaxations of the stable set polytope obtained by LS<sub>+</sub> or other convex optimization based lift-and-project hierarchies. Overall, the importance of the quest to understand minimal obstructions to families of SDP relaxations in particular — and convex relaxations in general — has been raised by many others. For example, Knuth, in his well-known survey "The Sandwich Theorem" [Knu94] poses six open problems in the general context of Lovász theta function. Two of the six open problems concern  $LS_+(FRAC(G))$ . One of them asks for finding what we call below a 2-minimal graph (answered in [LT03]).

We say that a graph G is  $\ell$ -minimal if  $|V(G)| = 3\ell$  and G has LS<sub>+</sub>-rank  $\ell$ . It is known that  $\ell$ -minimal graphs exist for  $\ell \in \{1, 2, 3\}$ . For  $\ell = 1$ , it is easy to see that the 3-cycle is the only 1-minimal graph. The first 2-minimal graph  $(G_{2,1})$  in Figure 1) was found by Lipták and the second author [LT03], who also conjectured that  $\ell$ -minimal graphs exist for all  $\ell \in \mathbb{N}$ . Subsequently, Escalante, Montelar, and Nasini [EMN06] showed that there is only one other 2-minimal graph  $(G_{2,2})$  in Figure 1), while providing the first example of a 3-minimal graph  $(G_{3,1})$  in Figure 1). (The logic behind the seemingly odd choice of vertex labels in the figures of this section will be explained in Section 4 when we introduce the vertex-stretching operation.)

In producing the first 3-minimal graph, Escalante et al. [EMN06] also showed that there does not exist an  $\ell$ -minimal graph for any  $\ell \geq 4$  if we restrict ourselves to graphs that can be obtained by starting with a complete graph and replacing every edge by a path of length at least 1. (Let  $K_n$  denote the complete graph on n vertices. Notice that  $G_{2,1}$  and  $G_{3,1}$  can be respectively obtained from  $K_4$  and  $K_5$  by replacing some edges with paths of length 3.)

Recently, the authors [AT23] discovered several family of graphs G for which the LS<sub>+</sub>-rank of G is  $\Omega(|V(G)|)$ . One of them is the family of graphs  $H_k$ , which is defined as follows. Given  $k \in \mathbb{N}$ , let [k] denote the set  $\{1, 2, \ldots, k\}$ . For every  $k \geq 3$ , let

$$V(H_k) := \{i_0, i_1, i_2 : i \in [k]\}$$

and

$$E(H_k) := \{\{i_1, i_0\}, \{i_0, i_2\} : i \in [k]\} \cup \{i_1, j_2 : i, j \in [k], i \neq j\}.$$

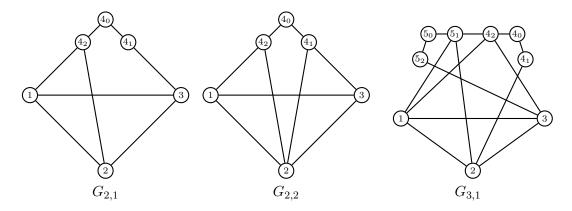


FIGURE 1. Known 2- and 3-minimal graphs due to [LT03] and [EMN06]

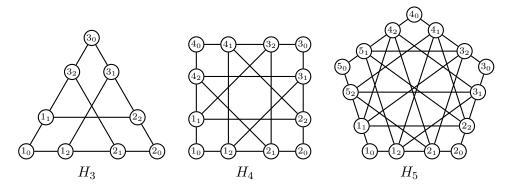


FIGURE 2. Several graphs in the family  $H_k$ 

Figure 2 illustrates the graphs  $H_k$  for k = 3, 4, 5. (Note that our vertex labels for  $H_k$  are different from that in [AT23].) The authors [AT23, Theorem 2] proved the following.

**Theorem 2.** For every  $k \geq 3$ , The LS<sub>+</sub>-rank of  $H_k$  is at least  $\frac{3k}{16}$ .

Theorem 2 (and other results in [AT23]) ended a 17-year lull in new hardness results for LS<sub>+</sub>-relaxations of the stable set problem, and provides renewed hope that  $\ell$ -minimal graphs do exist for  $\ell \geq 4$ . Indeed, one of the main contributions of this work is the discovery of what we believe to be the first known instance of a 4-minimal graph ( $G_{4,1}$  in Figure 3).

This paper is organized as follows. In Section 2, we define the LS<sub>+</sub> operator and introduce some of the tools and notation we will need for our subsequent analysis. Then, in Section 3, we discuss what we call star-homomorphism between graphs, and provide a template for constructing graph operations that are LS<sub>+</sub>-rank non-decreasing. Using this template, we define our vertex-stretching operation in Section 4, which generalizes similar graph operations studied previously [LT03, AEF14, BENT17]. We then show in Section 5 that every  $\ell$ -minimal graph for  $\ell \geq 2$  must be obtained from applying our vertex-stretching operation to a smaller graph, and in particular study the LS<sub>+</sub>-ranks of graphs obtained from stretching the vertices of a complete graph. In Section 6, we prove that  $G_{4,1}$  indeed has LS<sub>+</sub>-rank 4 and discuss some of the immediate consequences of the result, which includes the discovery of several other new 3- and 4-minimal graphs. We then revisit the aforementioned families of graphs  $H_k$  in Section 7, and apply our results on vertex stretching to show that there exists a family of graphs G with maximum degree

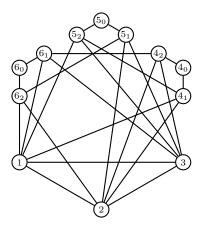


FIGURE 3.  $G_{4,1}$ , a 12-vertex graph with LS<sub>+</sub>-rank 4

3 and LS<sub>+</sub>-rank  $\Omega(\sqrt{|V(G)|})$ . Finally, we conclude our paper in Section 8 by mentioning some natural future research directions.

### 2. Preliminaries

In this section, we define the lift-and-project operator  $LS_+$  due to Lovász and Schrijver [LS91] and the convex relaxations of STAB(G) it produces, as well as go over the basic tools we will use in subsequent sections to analyze the  $LS_+$ -rank of graphs.

2.1. The LS<sub>+</sub>-operator. Given a set  $P \subseteq [0,1]^n$ , we define the homogenized cone of P to be

$$cone(P) := \left\{ \begin{bmatrix} \lambda \\ \lambda x \end{bmatrix} : \lambda \ge 0, x \in P \right\}.$$

Notice that  $cone(P) \subseteq \mathbb{R}^{n+1}$ , and we will refer to the new coordinate with index 0. Next, given a vector x and an index i, we may refer to the i-entry in x by  $x_i$  or  $[x]_i$ . All vectors are column vectors by default, so  $x^{\top}$ , the transpose of a vector x, is a row vector. Next, let  $\mathbb{S}^n_+$  denote the set of n-by-n real symmetric positive semidefinite matrices, and diag(Y) be the vector formed by the diagonal entries of a square matrix Y. We also let  $e_i$  be the i-th unit vector.

Given  $P \subseteq [0,1]^n$ , the operator LS<sub>+</sub> first lifts P to the following set of matrices:

$$\widehat{LS}_{+}(P) := \{ Y \in \mathbb{S}^{n+1}_{+} : Ye_0 = \operatorname{diag}(Y), Ye_i, Y(e_0 - e_i) \in \operatorname{cone}(P) \ \forall i \in [n] \}.$$

It then *projects* the set back down to the following set in  $\mathbb{R}^n$ :

$$LS_+(P) := \left\{ x \in \mathbb{R}^n : \exists Y \in \widehat{LS}_+(P), Ye_0 = \begin{bmatrix} 1 \\ x \end{bmatrix} \right\}.$$

Given  $x \in LS_+(P)$ , we say that  $Y \in \widehat{LS}_+(P)$  is a *certificate matrix* for x if  $Ye_0 = \begin{bmatrix} 1 \\ x \end{bmatrix}$ . Also, given a set  $P \subseteq [0,1]^n$ , we define

$$P_I := \operatorname{conv} (P \cap \{0, 1\}^n)$$

to be the integer hull of P. The following is a well-known and foundational property of LS<sub>+</sub>.

**Lemma 3.** For every set  $P \subseteq [0,1]^n$ ,  $P_I \subseteq LS_+(P) \subseteq P$ .

Proof. For the first containment, let  $x \in P \cap \{0,1\}^n$ . Observe that  $Y := \begin{bmatrix} 1 \\ x \end{bmatrix} \begin{bmatrix} 1 \\ x \end{bmatrix}^\top \in \widehat{LS}_+(P)$ , and so  $x \in LS_+(P)$ . For the second containment, let  $x \in LS_+(P)$ , and  $Y \in \widehat{LS}_+(P)$  be a certificate matrix for x. Since  $Ye_0 = Ye_i + Y(e_0 - e_i)$  for any index  $i \in [n]$  and that  $\widehat{LS}_+$  imposes that  $Ye_i, Y(e_0 - e_i) \in cone(P)$ , it follows that  $Ye_0 = \begin{bmatrix} 1 \\ x \end{bmatrix} \in cone(P)$ , and thus  $x \in P$ .

Therefore,  $LS_+(P)$  contains the same set of integral solutions as P. Also, if P is tractable, then so is  $LS_+(P)$ , and it is known that  $P \supset LS_+(P)$  unless  $P = P_I$ . Thus,  $LS_+(P)$  offers a tractable relaxation of  $P_I$  that is tighter than the initial relaxation P.

Furthermore, we can apply LS<sub>+</sub> multiple times to obtain yet tighter relaxations. Given  $k \in \mathbb{N}$ , let LS<sub>+</sub><sup>k</sup>(P) be the set obtained from applying k successive LS<sub>+</sub> operations to P. (We also let LS<sub>+</sub><sup>0</sup>(P) := P.) Then it is well known that

$$P_I = LS_+^n(P) \subseteq LS_+^{n-1}(P) \subseteq \cdots \subseteq LS_+(P) \subseteq P.$$

Thus, LS<sub>+</sub> generates a hierarchy of progressively tighter convex relaxations which converge to  $P_I$  in no more than n iterations. The reader may refer to Lovász and Schrijver [LS91] for a proof of this fact and some other properties of the LS<sub>+</sub> operator.

2.2. Analyzing the LS<sub>+</sub>-rank of a graph. Recall that FRAC(G), the fractional stable set polytope of a graph G, offers a simple and tractable convex relaxation of STAB(G). Thus, we could apply LS<sub>+</sub> to obtain stronger relaxations of STAB(G) than FRAC(G). Given an integer  $k \geq 0$ , define

$$LS_+^k(G) := LS_+^k(FRAC(G)),$$

and let  $r_+(G)$  denote the LS<sub>+</sub>-rank of G (which, again, is the smallest integer k where LS<sup>k</sup><sub>+</sub>(G) = STAB(G)).

To show that a graph G has  $LS_+$ -rank at least p, the standard approach is to find a point  $\bar{x}$  where  $\bar{x} \notin STAB(G)$  and  $\bar{x} \in LS_+^{p-1}(G)$  — this is the approach we will take when verifying that  $r_+(G_{4,1}) \geq 4$ . We do remark that verifying  $\bar{x} \in LS_+^{p-1}(G)$  tends to get progressively more challenging as p increases, unless the symmetries of G allow for an inductive argument (which is the case for the line graphs of odd cliques [ST99], and to a lesser extent for  $H_k$  and related graphs [AT23]). Given  $p \in \mathbb{N}$ , we also define

$$\alpha_{\mathrm{LS}^p_+}(G) \coloneqq \max \left\{ \bar{e}^\top x : x \in \mathrm{LS}^p_+(G) \right\},$$

where  $\bar{e}$  denotes the vector of all-ones. Notice that if  $\alpha_{\mathrm{LS}^p_+}(G) > \alpha(G)$ , then  $r_+(G) \geq p+1$ . Next, the following is a well-known property of LS<sub>+</sub>.

**Lemma 4.** Let  $P \subseteq [0,1]^n$  be a polyhedron, and F be a face of  $[0,1]^n$ . Then

$$LS_+(P \cap F) = LS_+(P) \cap F.$$

It follows from Lemma 4 that if  $\bar{x} \in LS_+^p(G)$  and G' is an induced subgraph of G, then the vector obtained from  $\bar{x}$  by removing entries not in V(G') is in  $LS_+^p(G')$ . This in turn implies that  $r_+(G') \leq r_+(G)$  — see, for instance, [AT23, Lemma 5] for a proof.

We next mention several other ways of bounding  $r_+(G)$  using the LS<sub>+</sub>-rank of graphs that are related to G. Given a graph G and  $S \subseteq V(G)$ , we let G - S denote the subgraph of G induced by the vertices  $V(G) \setminus S$ , and call G - S the graph obtained by the *deletion* of S. (When  $S = \{i\}$  for some vertex i, we simply write G - i instead of  $G - \{i\}$ .) Next, given  $i \in V(G)$ , let

$$\Gamma_G(i) := \{ j \in V(G) : \{i, j\} \in E(G) \}$$

be the open neighborhood of i in G, and  $\Gamma_G[i] := \Gamma_G(i) \cup \{i\}$  be the closed neighborhood of i in G. Then the graph obtained from the destruction of i in G is defined as

$$G \ominus i \coloneqq G - \Gamma[i].$$

Then we have the following.

**Theorem 5.** For every graph G,

- (i) [LS91, Corollary 2.16]  $r_+(G) \le \max\{r_+(G \ominus i) : i \in V(G)\} + 1$ ;
- (ii) [LT03, Theorem 36]  $r_+(G) \le \min \{r_+(G-i) : i \in V(G)\} + 1$ .

Recall that  $r_+(G) = 0$  if and only if G is bipartite (in which case FRAC(G) = STAB(G)). Thus, it follows immediately from Theorem 5(ii) that if G is non-bipartite but G - i is bipartite for some  $i \in V(G)$ , then  $r_+(G) = 1$  — an example for such graphs is the odd cycles. Likewise, if G is non-bipartite while  $G \ominus i$  is bipartite for every  $i \in V(G)$ , then  $r_+(G) = 1$  as well — such as when G is an odd antihole (i.e., the graph complement of an odd cycle of length at least 5), or an odd wheel (i.e., the graph obtained from joining a vertex to every vertex of an odd cycle of length at least 5).

We say that a graph G is perfect if  $\mathrm{CLIQ}(G) = \mathrm{STAB}(G)$ . In terms of forbidden subgraphs, G is perfect if and only if it does not contain an induced subgraph that is an odd hole (i.e., an odd cycle of length at least 5) or an odd antihole [CRST06]. Since  $\mathrm{LS}_+(G) \subseteq \mathrm{CLIQ}(G)$  in general [LS91], it follows that  $r_+(G) \leq 1$  if G is perfect.

The following is a restatement of [LT03, Lemma 5].

**Proposition 6.** Let G be a graph, and  $S_1, S_2, C \subseteq V(G)$  are mutually disjoint subsets such that

- $S_1 \cup S_2 \cup C = V(G)$ ;
- C induces a clique in G;
- There is no edge  $\{i, j\} \in E(G)$  where  $i \in S_1, j \in S_2$ .

Then  $r_+(G) = \max\{r_+(G - S_1), r_+(G - S_2)\}.$ 

Thus, if G has a cut clique (i.e., a clique C where G - C has multiple components), then the LS<sub>+</sub>-rank of G is equal to that of one of its proper subgraphs.

Finally, it is clear from the definition of LS<sub>+</sub> that if  $P_1 \subseteq P_2$ , then LS<sub>+</sub>( $P_1$ )  $\subseteq$  LS<sub>+</sub>( $P_2$ ). This implies the following.

**Lemma 7.** Given graphs G, H where V(G) = V(H) and  $E(G) \subseteq E(H)$ ,

- (i) If  $a^{\top}x \leq \beta$  is valid for  $LS_{+}^{p}(G)$ , then  $a^{\top}x \leq \beta$  is valid for  $LS_{+}^{p}(H)$ ;
- (ii) If  $a^{\top}x \leq \beta$  is not valid for  $LS_{+}^{p}(H)$ , then  $a^{\top}x \leq \beta$  is not valid for  $LS_{+}^{p}(G)$ .

## 3. Star-homomorphic graphs

In this section, we introduce the notion of two graphs being star-homomorphic, and describe how the LS<sub>+</sub>-relaxations of such a pair of graphs are related. Given a graph G = (V(G), E(G)), we define the graph  $G^{\dagger}$  where

$$\begin{split} V(G^\dagger) &\coloneqq \left\{i, \bar{i}: i \in V(G)\right\}, \\ E(G^\dagger) &\coloneqq E(G) \cup \left\{\left\{i, \bar{i}\right\}: i \in V(G)\right\}. \end{split}$$

In other words, we obtain  $G^{\dagger}$  from G by adding a new vertex  $\bar{i}$  for every  $i \in V(G)$ , and then adding an edge between  $\bar{i}$  and i. Figure 4 provides an example of constructing  $G^{\dagger}$  from G.

Also, given graphs G and H, we say that  $g:V(H)\to V(G)$  is a homomorphism if, for all  $i,j\in V(H)$ ,

$$\{i,j\} \in E(H) \Rightarrow \{g(i),g(j)\} \in E(G).$$

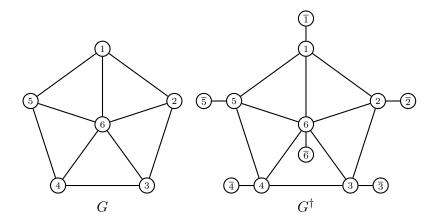


Figure 4. Constructing  $G^{\dagger}$  from G

Next, given graphs G and H, if there exists a homomorphism  $g:V(H)\to V(G^{\dagger})$ , then we say that H is star-homomorphic to G under g.

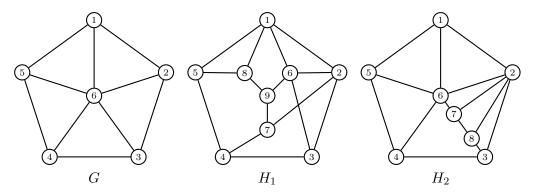


FIGURE 5. Two graphs  $H_1, H_2$  that are star-homomorphic to G

**Example 8.** Consider the graphs in Figure 5. Then  $H_1$  is star-homomorphic to G under  $g_1$  where

Likewise,  $H_2$  is star-homomorphic to G under  $g_2$  where

Given that H is star-homomorphic to G under g and  $x \in \mathbb{R}^{V(G)}$ , we let  $\tilde{g}(x) \in \mathbb{R}^{V(H)}$  be the vector where

$$\left[\tilde{g}(x)\right]_{j} \coloneqq \begin{cases} x_{i} & \text{if } g(j) = i; \\ 1 - x_{i} & \text{if } g(j) = \bar{i}. \end{cases}$$

While the following property of  $\tilde{g}$  follows readily from the definition of star-homomorphism, it is worth stating explicitly.

**Lemma 9.** Suppose H is star-homomorphic to G under g, and  $x \in \mathbb{R}^{V(G)}$ . If  $x \in FRAC(G)$ , then  $\tilde{g}(x) \in FRAC(H)$ .

*Proof.* First, it is easy to see that  $x \in [0,1]^{V(G)}$  implies  $\tilde{g}(x) \in [0,1]^{V(H)}$ . Now given edge  $\{j_1,j_2\} \in E(H), \{g(j_1),g(j_2)\}$  is either an edge in G or  $\{i,\bar{i}\}$  for some  $i \in V(G)$ . In both cases, we see that  $[\tilde{g}(x)]_{j_1} + [\tilde{g}(x)]_{j_2} \leq 1$ .

In fact, the implication in Lemma 9 is preserved under applications of LS<sub>+</sub>.

**Proposition 10.** Suppose H is star-homomorphic to G under g, and  $x \in \mathbb{R}^{V(G)}$ . If  $x \in LS_+^p(G)$ , then  $\tilde{g}(x) \in LS_+^p(H)$ .

Proof. Suppose  $x \in LS^p_+(G)$ . We prove that  $\tilde{g}(x) \in LS^p_+(H)$  by induction on p. The base case p=0 reduces to Lemma 9. Next, suppose  $p\geq 1$ , and let  $Y\in \widehat{LS}^p_+(G)$  be a certificate matrix. For convenience, we also extend the function  $\tilde{g}$  as follows: Given a real number  $k\geq 0$ , define  $\tilde{g}_k: \mathbb{R}^{\{0\}\cup V(G)} \to \mathbb{R}^{\{0\}\cup V(H)}$  such that

$$[\tilde{g}_k(x)]_j := \begin{cases} x_0 & \text{if } j = 0; \\ x_i & \text{if } j \in V(H) \text{ and } g(j) = i; \\ k - x_i & \text{if } j \in V(H) \text{ and } g(j) = \bar{i}. \end{cases}$$

Notice that the function  $\tilde{g}_k$  satisfies

$$\tilde{g}_{\lambda}\left(\begin{bmatrix} \lambda \\ \lambda x \end{bmatrix}\right) = \begin{bmatrix} \lambda \\ \lambda \tilde{g}(x) \end{bmatrix}$$

for every  $\lambda \geq 0$  and  $x \in \mathbb{R}^{V(G)}$ .

Since  $Y \in \widehat{LS}^p_+(G)$ ,  $Ye_i, Y(e_0 - e_i) \in \text{cone}\left(LS^{p-1}_+(G)\right)$  for every  $i \in V(G)$ . Thus, applying the inductive hypothesis we have  $\tilde{g}_{x_i}(Ye_i), \tilde{g}_{1-x_i}(Y(e_0 - e_i)) \in \text{cone}\left(LS^{p-1}_+(H)\right)$ .

Next, define the matrix  $U \in \mathbb{R}^{(\{0\} \cup V(G)) \times (\{0\} \cup V(H))}$  where

$$Ue_{j} := \begin{cases} e_{0} & \text{if } j = 0; \\ e_{i} & \text{if } j \in V(H) \text{ and } g(j) = i; \\ e_{0} - e_{i} & \text{if } j \in V(H) \text{ and } g(j) = \overline{i}. \end{cases}$$

Then, given  $z \in \mathbb{R}^{\{0\} \cup V(G)}$ ,  $U^{\top}z = \tilde{g}_{z_0}(z)$ .

Next, we claim that the matrix  $Y' := U^{\top}YU \in \widehat{LS}_{+}^{p}(H)$ . First, since  $Y = Y^{\top}$ , diag $(Y) = Ye_0$ , and  $Y \succeq 0$ , it is easy to see that the corresponding properties also hold for Y'. We next show that  $Y'e_j, Y'(e_0 - e_j) \in \text{cone}\left(LS_{+}^{p-1}(H)\right)$  for every  $j \in V(H)$ . First, if  $g(j) = i \in V(G)$ , then  $Y'e_j = \tilde{g}_{x_i}(Ye_i)$ , and

$$Y'(e_0 - e_j) = Y'e_0 - Y'e_j = \tilde{g}_1(Ye_0) - \tilde{g}_{x_i}(Ye_i) = \tilde{g}_{1-x_i}(Y(e_0 - e_i)).$$

To see the last equality, notice that

$$[\tilde{g}_{1}(Ye_{0}) - \tilde{g}_{x_{i}}(Ye_{i})]_{\ell} = [\tilde{g}_{1-x_{i}}(Y(e_{0} - e_{i}))]_{\ell}$$

$$= \begin{cases} 1 - x_{i} & \text{if } \ell = 0; \\ x_{i'} - Y[i', i] & \text{if } g(\ell) = i' \in V(G); \\ 1 - x_{i'} - x_{i} + Y[i', i] & \text{if } g(\ell) = \overline{i'} \text{ for some } i' \in V(G). \end{cases}$$

Likewise, if  $g(j) = \bar{i}$  for some  $i \in V(G)$ , then  $Y'e_j = \tilde{g}_{1-x_i}(Y(e_0 - e_i))$  and  $Y'(e_0 - e_j) = \tilde{g}_{x_i}(Ye_i)$ . In all cases, it follows from the inductive hypothesis that  $Y'e_j, Y'(e_0 - e_j) \in \text{cone}\left(LS^{p-1}_+(H)\right)$ .

Therefore, 
$$Y' \in \widehat{LS}_+^p(H)$$
. Since  $Y'e_0 = \tilde{g}_1(Ye_0) = \begin{bmatrix} 1 \\ \tilde{g}(x) \end{bmatrix}$ , it follows that  $\tilde{g}(x) \in LS_+^p(H)$ .

Proposition 10 helps establish a framework for bounding the LS<sub>+</sub>-rank of a graph by that of another.

**Lemma 11.** Given graphs G and H where H is star-homomorphic to G under g, if

$$x \notin STAB(G) \Rightarrow \tilde{g}(x) \notin STAB(H).$$

then  $r_+(H) \geq r_+(G)$ .

Proof. Suppose  $r_+(G) = p \ge 1$  (the claim is trivial if p = 0). Then there exists  $x \in LS^{p-1}_+(G) \setminus STAB(G)$ . Then by the hypothesis and Proposition 10,  $\tilde{g}(x) \in LS^{p-1}_+(H) \setminus STAB(H)$ , showing that  $r_+(H) \ge p$ .

Finally, while our focus for this paper is the LS<sub>+</sub> operator, we remark that the framework of star homomorphism can be extended to analyze relaxations generated by other lift-and-project operators. Again, let H be a graph that is star-homomorphic to G under g. Then notice that the function  $\tilde{g}: \mathbb{R}^{V(G)} \to \mathbb{R}^{V(H)}$  can be expressed as a composition of the following four elementary operations:

(1) Deleting a coordinate. E.g.,  $L: \mathbb{R}^n \to \mathbb{R}^{n-1}$  where

$$L(x_1, x_2, \dots, x_n) = L(x_2, \dots, x_n).$$

(2) Swapping two coordinates. E.g.,  $L: \mathbb{R}^n \to \mathbb{R}^n$  where

$$L(x_1, x_2, x_3, \dots, x_n) = L(x_2, x_1, x_3, \dots, x_n).$$

(3) Cloning a coordinate. E.g.,  $L: \mathbb{R}^n \to \mathbb{R}^{n+1}$  where

$$L(x_1, x_2, \dots, x_n) = L(x_1, x_1, x_2, \dots, x_n).$$

(4) Flipping a coordinate. E.g.,  $L: \mathbb{R}^n \to \mathbb{R}^n$  where

$$L(x_1, x_2, \dots, x_n) = L(1 - x_1, x_2, \dots, x_n).$$

Now, let  $\mathcal{L}$  be a lift-and-project operator. If one can show that

(2) 
$$x \in \mathcal{L}(P) \Rightarrow L(x) \in \mathcal{L}(L(P)),$$

for every function L that belongs to one of the four categories above (where L(P) denotes  $\{L(z):z\in P\}$ ), then one can prove the analogous version of Proposition 10 for  $\mathcal{L}$ . For instance, the ideas from the proof of Proposition 10 show that (2) holds for  $\mathcal{L}\in\{\mathrm{LS}_+,\mathrm{LS},\mathrm{LS}_0\}$  (where  $\mathrm{LS},\mathrm{LS}_0$  [LS91] are operators that generate linear relaxations which are generally weaker than  $\mathrm{LS}_+$ ). Also, it has been shown [AT18, Proposition 1] that the Lasserre operator Las [Las01] commutes with all automorphisms of the unit hypercube, a property that is also shared by the Sherali–Adams operator SA [SA90] and one of its PSD variants  $\mathrm{SA}_+$  [AT16]. Thus, these operators satisfy (2) as well, and much of what we show for  $\mathrm{LS}_+$  in this section and the next section also applies to these operators.

## 4. The (generalized) vertex-stretching operation

In this section, we introduce a graph operation that shows promise in producing relatively small graphs with high LS<sub>+</sub>-ranks, and study some of its properties. Given a graph G, vertex  $v \in V(G)$ , and non-empty sets  $A_1, \ldots, A_p \subset \Gamma_G(v)$  where  $\bigcup_{\ell=1}^p A_\ell = \Gamma_G(v)$ , we define the stretching of v in G by applying the following sequence of transformations to G:

- Replace v by p+1 vertices:  $v_0, v_1, \ldots, v_p$ ;
- For every  $\ell \in [p]$ , Join  $v_{\ell}$  to  $v_0$ , as well as to all vertices in  $A_{\ell}$ .

We will also refer to the operation as p-stretching when we would like to specify p (which is necessarily at least 2). For example, Figure 6 shows the graph obtained from 2-stretching vertex 5 in  $K_5$  (with  $A_1 = \{2, 3, 4\}$  and  $A_2 = \{1, 2, 3\}$ ). For another example, observe that in Figure 5, the graph  $H_1$  can be obtained by 3-stretching vertex 6 in G.

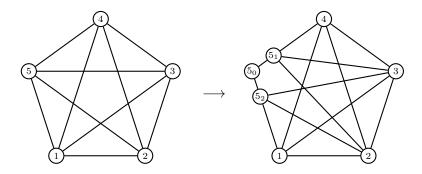


FIGURE 6. Demonstrating the vertex-stretching operation

We remark that our vertex stretching operation is a generalization of the type (i) stretching operation described in [LT03] and later studied in [AEF14] (which further requires that p=2 and  $A_1 \cap A_2 = \emptyset$ ), as well as the k-stretching operation described in [BENT17] (which further requires that p=2 and the vertices  $A_1 \cap A_2$  induce a clique of size k in G, with k=0 allowed). Also, when  $A_1, \ldots, A_p$  are mutually disjoint and at most one of these p sets has size greater than 1, our vertex stretching specializes to an instance of type (ii) stretching from [LT03].

Given a graph G and an integer  $p \geq 2$ , we define  $\mathcal{S}_p(G)$  to be the set of graphs that can be obtained from G by p-stretching one vertex. Notice that every graph  $H \in \mathcal{S}_p(G)$  is star-homomorphic to G under g where

(3) 
$$g(j) := \begin{cases} j & \text{if } j \in V(H \ominus v_0); \\ v & \text{if } j \in \{v_1, \dots, v_p\}; \\ \overline{v} & \text{if } j = v_0. \end{cases}$$

We also define  $S(G) := \bigcup_{p \geq 2} S_p(G)$ , and will show that  $r_+(H) \geq r_+(G)$  for all  $H \in S(G)$  using Lemma 11. First, we need a tool that uses valid inequalities of STAB(G) to generate potential valid inequalities for STAB(H).

**Lemma 12.** Let  $H \in \mathcal{S}(G)$  be a graph obtained from G by p-stretching vertex  $v \in V(G)$ , and  $a^{\top}x \leq \beta$  be a valid inequality STAB(G) where  $a \geq 0$ . If  $d \in \mathbb{R}_+^p$  satisfies  $\sum_{\ell=1}^p d_{\ell} \geq a_v$  and

(4) 
$$\max \left\{ a^{\top} x : x \in \text{STAB}(G), x_i = 0 \ \forall i \in \{v\} \cup \bigcup_{\ell \in T} A_{\ell} \right\} \leq \beta - a_v + \sum_{\ell \notin T} d_{\ell}$$

for all  $\emptyset \subset T \subset [p]$ , then

(5) 
$$\sum_{i \in V(H \oplus v_0)} a_i x_i + \sum_{\ell=1}^p d_\ell x_{v_\ell} + \left( \left( \sum_{\ell=1}^p d_\ell \right) - a_v \right) x_{v_0} \le \beta - a_v + \sum_{\ell=1}^p d_\ell$$

is valid for STAB(H).

*Proof.* Suppose  $S \subseteq V(H)$  is an inclusion-wise maximal stable set in H. We define  $S' \subseteq V(G)$  where

$$S' := \begin{cases} S \setminus \{v_0\} & \text{if } v_0 \in S; \\ (S \setminus \{v_1, \dots, v_p\}) \cup \{v\} & \text{if } \{v_1, \dots, v_p\} \subseteq S; \\ S \setminus \{v_1, \dots, v_p\} & \text{if } 1 \le |\{v_1, \dots, v_p\} \cap S| \le p - 1. \end{cases}$$

In all cases, S' is a stable set in G, and  $a^{\top}\chi_{S'} \leq \beta$  implies that  $\chi_S$  satisfies (5). Note that the third case is when we require the assumption (4) with  $T := \{v_1, \ldots, v_p\} \cap S$ .

Due to its similarity with the aforementioned vertex-stretching operations studied in [LT03], our vertex-stretching operation shares some similar structural properties, which we point out below.

**Proposition 13.** Let  $H \in \mathcal{S}(G)$  be a graph obtained from G by p-stretching vertex  $v \in V(G)$ . Then we have the following.

(i) If  $a^{\top}x \leq \beta$  is valid for STAB(G) where  $a \geq 0$ . Then

(6) 
$$\sum_{i \in V(H \oplus v_0)} a_i x_i + \sum_{\ell=1}^p a_v x_{v_\ell} + (p-1)a_v x_{v_0} \le \beta + (p-1)a_v$$

is valid for STAB(H).

- (ii) Let g be as defined in (3). If  $x \notin STAB(G)$ , then  $\tilde{g}(x) \notin STAB(H)$ .
- (iii)  $r_{+}(H) \geq r_{+}(G)$ .

*Proof.* First, (i) follows readily from Lemma 12 with  $d_{\ell} := a_v$  for every  $\ell \in [p]$ . Here, the condition (4) holds as the right hand side is at least  $\beta$  for all non-empty  $T \subset [p]$ .

For (ii), first suppose  $x \notin STAB(G)$ . If  $x \notin [0,1]^{V(G)}$ , then  $\tilde{g}(x) \notin [0,1]^{V(H)}$  and the claim follows. Otherwise, there is a facet  $a^{\top}x \leq \beta$  of STAB(G) where  $a \geq 0$  that is violated by x. Now notice that for every  $j \in V(H)$ ,

$$[\tilde{g}(x)]_j = \begin{cases} x_j & \text{if } j \in V(H \ominus v_0); \\ x_v & \text{if } j \in \{v_1, \dots, v_p\}; \\ 1 - x_v & \text{if } j = v_0. \end{cases}$$

Then  $\tilde{g}(x)$  violates (6), and thus does not belong to STAB(H).

Finally, as we have shown that H is star-homomorphic to G under the function g as defined in (3), (iii) follows directly from Lemma 11.

We remark that Proposition 13 is a generalization of the corresponding results on types (i) and (ii) stretching from [LT03], and our proof uses many of the same ideas from similar arguments therein.

Next, we prove a result somewhat similar to Proposition 13(i) that derives some facets of the stable set polytope of the stretched graph.

**Proposition 14.** Let  $H \in \mathcal{S}(G)$  be a graph obtained from G by p-stretching vertex  $v \in V(G)$ , and suppose  $a^{\top}x \leq \beta$  is a facet of STAB(G) where  $a \geq 0$ . For every  $\ell \in [p]$ , define  $A_{\ell} := \Gamma_H(v_{\ell}) \setminus \{v_0\}$  and

(7) 
$$d_{\ell} \coloneqq a_v - \beta + \max \left\{ a^{\top} x : x \in \text{STAB}(G), x_i = 0 \ \forall i \in \{v\} \cup \bigcup_{j \in [p], j \neq \ell} A_j \right\}.$$

If the inequality (5) is valid for STAB(H), then it is a facet of STAB(H).

*Proof.* For convenience, let n := |V(G)|. Since  $a^{\top}x \leq \beta$  is a facet of STAB(G), there exist stable sets  $S_1, \ldots, S_n \subseteq V(G)$  whose incidence vectors are affinely independent and all satisfy  $a^{\top}x \leq \beta$  with equality. Also, for every  $\ell \in [p]$ , let  $D_{\ell}$  be a stable set that attains the maximum in the definition of  $d_{\ell}$  in (7). We then define  $S'_1, \ldots, S'_{n+p}$  as follows. For every  $i \in [n]$ ,

$$S_i' := \begin{cases} S_i \cup \{v_0\} & \text{if } v \notin S_i; \\ (S_i \setminus \{v\}) \cup \{v_1, \dots, v_p\} & \text{if } v \in S_i. \end{cases}$$

We also define

$$S'_{n+i} := D_i \cup \{v_j : j \in [p], j \neq i\}$$

for all  $i \in [p]$ .

Observe that  $S'_1, \ldots, S'_{n+p}$  must all be stable sets in H. Also, using the fact that incidence vectors of  $S_1, \ldots, S_n$  are affinely independent and satisfy  $a^{\top}x \leq \beta$  with equality, we see that the incidence vectors of  $S'_1, \ldots, S'_{n+p}$  are affinely independent and all satisfy (5) with equality.

Thus, if we know that (5) is valid for STAB(H), it must be a facet.

The special case of p=2 in Proposition 14 is particularly noteworthy:

**Corollary 15.** Let  $H \in \mathcal{S}_2(G)$  be a graph obtained from G by 2-stretching vertex  $v \in V(G)$ , and suppose  $a^{\top}x \leq \beta$  is a facet of STAB(G) where  $a \geq 0$ . Define  $A_1 := \Gamma_H(v_1) \setminus \{v_0\}$ ,  $A_2 := \Gamma_H(v_2) \setminus \{v_0\}$ , as well as the quantities

$$d_1 \coloneqq a_v - \beta + \max \left\{ a^\top x : x \in \text{STAB}(G), x_i = 0 \ \forall i \in \{v\} \cup A_2 \right\},$$
$$d_2 \coloneqq a_v - \beta + \max \left\{ a^\top x : x \in \text{STAB}(G), x_i = 0 \ \forall i \in \{v\} \cup A_1 \right\}.$$

If  $d_1 + d_2 \ge a_v$ , then (5) is a facet of STAB(H).

*Proof.* This is largely a specialization of Proposition 14 to the case p=2. Notice that the additional assumption of (5) being valid is not necessary in this case because  $\{1,2\}$  has exactly two non-empty and proper subsets, and so the definition of  $d_1, d_2$  herein are enough to guarantee that the assumption (4) is met.

Finally, we close this section by mentioning a "reverse" implication of Proposition 13. Notice that given graph H, if any vertex  $v_0 \in H$  has the property that  $\Gamma_H(v_0)$  is a stable set, then there exists a graph G where  $H \in \mathcal{S}(G)$ . In particular, we can obtain this graph G by contracting the set of vertices  $\Gamma_H[v_0]$ . Thus, Proposition 13 implies the following.

**Corollary 16.** Given a graph H and vertex  $v_0 \in V(H)$  where  $\Gamma_H(v_0)$  is a stable set, let G be the graph obtained from H by contracting the set of vertices  $\Gamma_H[v_0]$ . Then  $r_+(G) \leq r_+(H)$ .

**Example 17.** In general, it is possible that contracting the closed neighborhood of a vertex results in an increase in the graph's LS<sub>+</sub>-rank. For example, notice that the graph H in Figure 7 is the union of two LS<sub>+</sub>-rank-1 graphs whose intersection is the cut clique  $\{7,8\}$ , and thus it follows from Proposition 6 that  $r_+(H) = 1$ . However, contracting  $\Gamma_H[6]$  in H results in G, which is isomorphic to  $G_{2,1}$ , and so  $r_+(G) = 2$ .

## 5. LS<sub>+</sub>-minimal graphs via 2-stretching cliques

In this section, we are interested in studying graphs with the fewest number of vertices with a given LS<sub>+</sub>-rank. Given  $\ell \in \mathbb{N}$ , define  $n_+(\ell)$  to be the minimum number of vertices on which there exists a graph G with  $r_+(G) = \ell$ . It follows immediately from Theorem 1 that  $n_+(\ell) \geq 3\ell$ 

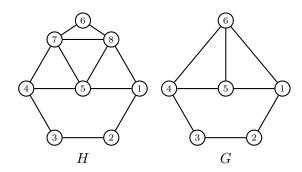


FIGURE 7. An example in which contracting the closed neighborhood of a vertex increases the graph's LS<sub>+</sub>-rank

for every  $\ell \in \mathbb{N}$ . On the other hand, Theorem 2 implies that  $n_+(\ell) \leq 16\ell$ . Thus, we know that  $n_+(\ell) = \Theta(\ell)$  asymptotically.

Recall that a graph G is  $\ell$ -minimal if  $r_+(G) = \ell$  and  $|V(G)| = 3\ell$ . The following result establishes a close connection between  $\ell$ -minimal graphs and 2-stretching vertices.

**Theorem 18.** Let H be an  $\ell$ -minimal graph where  $\ell \geq 2$ . Then there exists a graph G where  $H \in \mathcal{S}_2(G)$ .

Proof. First, since  $r_+(H) = \ell$ , there exists a vertex  $v_0$  where  $r_+(H \ominus v_0) = \ell - 1$ . This implies that  $|V(H \ominus v_0)| \ge 3\ell - 3$ , and thus  $\deg(v_0) \le 2$ . If  $\deg(v_0) = 1$ , H would contain a cut vertex, and Proposition 6 implies there would be a proper subgraph of H with the same LS<sub>+</sub>-rank as H. Thus, we obtain that  $\deg(v_0) = 2$ . Let  $v_1, v_2$  denote the two neighbours of  $v_0$ . If  $\{v_1, v_2\} \in E(H)$ , then the edge would form a cut clique in H, and Proposition 6 again implies that there would a proper subgraph of H with the same LS<sub>+</sub>-rank as H. Thus,  $\{v_1, v_2\}$  must be a stable set in H.

Next, define G to be the graph obtained from H by contracting  $\{v_0, v_1, v_2\}$ , and label the new vertex v. We claim that  $H \in \mathcal{S}_2(G)$ , and to prove that it only remains to show that both  $\Gamma_H(v_1) \setminus \Gamma_H(v_2)$  and  $\Gamma_H(v_2) \setminus \Gamma_H(v_1)$  are non-empty.

Let  $a^{\top}x \leq \beta$  be a facet of STAB(H) of LS<sub>+</sub>-rank  $\ell$ . Then there must be stable sets  $S_1, \ldots, S_{3\ell} \subseteq V(H)$  whose incidence vectors are affinely independent and all satisfy  $a^{\top}x \leq \beta$  with equality. Also, since H is  $\ell$ -minimal, a must have full support. Therefore,  $S_i$  must be inclusion-wise maximal for all  $i \in [3\ell]$ , and hence belongs to one of the following cases:

- (1)  $S_i \cap \{v_0, v_1, v_2\} = \{v_0\};$
- (2)  $S_i \cap \{v_0, v_1, v_2\} = \{v_1\};$
- (3)  $S_i \cap \{v_0, v_1, v_2\} = \{v_2\};$
- (4)  $S_i \cap \{v_0, v_1, v_2\} = \{v_1, v_2\}.$

Since  $\chi_{S_1}, \ldots, \chi_{S_{3\ell}}$  are affinely independent, one of these stable sets contains  $v_0$  and belongs to Case (1), so assume without loss of generality that  $v_0 \in S_1$ . Now consider the matrix A formed by the row vectors  $(\chi_{S_2} - \chi_{S_1})^{\top}, (\chi_{S_3} - \chi_{S_1})^{\top}, \ldots, (\chi_{S_{3\ell}} - \chi_{S_1})^{\top}$ . Since  $S_1, \ldots, S_{3\ell}$  are affinely independent, A must have linearly independent rows. This means that, if we focus on the submatrix A' of A which consists of just the three columns corresponding to  $v_0, v_1$ , and  $v_2, A'$  must have rank 3. This implies that there must exist at least one  $S_i$  belonging to each of Cases (2), (3), and (4).

Now consider a stable set  $S_i$  that belongs to Case (2). Since  $S_i$  is inclusion-wise maximal, it must contain a vertex that is adjacent to  $v_2$  and not  $v_1$ , and so we have found a vertex that belongs to  $\Gamma_H(v_2) \setminus \Gamma_H(v_1)$ . The same argument applied to an  $S_i$  from Case (3) gives a vertex that belongs to  $\Gamma_H(v_1) \setminus \Gamma_H(v_2)$ . This finishes the proof.

Thus, for the remainder of this section, we will focus on 2-stretching vertices, and study when that helps (and does not help) in generating  $\ell$ -minimal graphs. Since we will be studying graphs obtained from applying a sequence of 2-stretching operations, we recursively define

$$\mathcal{S}_2^k(G) := \bigcup_{G' \in \mathcal{S}_2^{k-1}(G)} \mathcal{S}_2(G')$$

for every graph G and integer  $k \geq 1$ . That is,  $\mathcal{S}_2^k(G)$  is the set of graphs that can be obtained from G by a sequence of k 2-stretching operations. We also let  $\mathcal{S}_2^0(G) := \{G\}$ . The following is a basic property of the graphs in  $\mathcal{S}_2^k(G)$ .

**Lemma 19.** Let G be a graph, and let  $H \in \mathcal{S}_2^k(G)$ . Then  $\alpha(H) = \alpha(G) + k$ .

Proof. Let  $H \in \mathcal{S}_2(G)$  be a graph obtained from G by 2-stretching vertex  $v \in V(G)$ . To prove our claim, it suffices to show that  $\alpha(H) = \alpha(G) + 1$ . Consider a set of vertices  $S \subseteq V(G)$ . If  $v \in S$ , then S is a stable set in G if and only if  $(S \setminus \{v\}) \cup \{v_1, v_2\}$  is a stable set in G. If  $v \notin S$ , then G is a stable set in G if and only if G is a stable set in G. Thus, we see that G if G if and only if G if and only if G is a stable set in G. Thus, we see that G if G if G if and only if G if and only if G is a stable set in G. Thus, we see that G if G if and only if G if and only if G is a stable set in G if and only if G is a stable set in G.

Recall the graphs  $G_{2,1}, G_{2,2}$ , and  $G_{3,1}$ . In Figure 1, we labelled the vertices of these graphs to highlight the fact that all three graphs can be obtained from applying a number of 2-stretching operations to a complete graph. In fact, every known  $\ell$ -minimal graph to date — the 3-cycle and the three graphs in Figure 1 — belongs to  $\mathcal{S}^{\ell-1}(K_{\ell+2})$ . Thus, for the remainder of this section, we focus on graphs obtained from 2-stretching vertices of a complete graph, and prove some results about the LS<sub>+</sub>-ranks of these graphs. Some of our subsequent arguments rely on the positive semidefiniteness of some specific matrices, and so we first provide a framework for easily and reliably verifying such claims. Given a symmetric matrix  $Y \in \mathbb{R}^{n \times n}$ , we say that  $U, V \in \mathbb{Z}^{n \times n}$  is a UV-certificate of Y if

- $kY = U^{\top}U + V$  for some  $k \in \mathbb{N}$ , and,
- $\bullet$  V is diagonally dominant.

Observe that the existence of a UV-certificate implies that  $Y \succeq 0$  (these certificates are sumof-squares certificates, and every rational matrix  $Y \in \mathbb{S}^n_+$  admits such certificates). Given a UV-certificate to verify that Y is PSD, it suffices to

- (i) form  $U^{\top}U + V$  and check that it is equal to kY for some integer k
- (ii) check that  $V_{ii} \ge \sum_{j \ne i} |V_{ij}|$  for every  $i \in [n]$ .

Since every entry in U and V is an integer, verifying (i) and (ii) only involve elementary numerical operations on whole numbers.

Next, we show that if we 2-stretch a vertex in a complete graph, the result is always a graph with LS<sub>+</sub>-rank 2.

**Proposition 20.** Let  $n \geq 4$ . Then  $r_+(H) = 2$  for all  $H \in \mathcal{S}_2(K_n)$ .

*Proof.* Let  $H \in \mathcal{S}_2(K_n)$ , and assume without loss of generality that H is obtained from  $K_n$  by 2-stretching vertex n. Also, let  $G_n$  be the graph obtained from 2-stretching vertex n in  $K_n$  with  $A_1 := \{2, 3, \ldots, n\}$  and  $A_2 := [n-1]$ . (For example,  $G_4$  is the graph  $G_{2,2}$  from Figure 1 and  $G_5$  is shown in Figure 6.) Then H must be isomorphic to a subgraph of  $G_n$ .

Next, we show that  $\alpha_{LS^2}(G_4) > 2$ . Consider the certificate matrix

$$Y := \begin{bmatrix} 1 & 2 & 3 & 4_1 & 4_0 & 4_2 \\ 200 & 78 & 12 & 78 & 78 & 78 & 78 \\ 78 & 78 & 0 & 0 & 39 & 39 & 0 \\ 12 & 0 & 12 & 0 & 0 & 12 & 0 \\ 78 & 0 & 0 & 78 & 0 & 39 & 39 \\ 78 & 39 & 0 & 0 & 78 & 0 & 39 \\ 78 & 39 & 12 & 39 & 0 & 78 & 0 \\ 78 & 0 & 0 & 39 & 39 & 0 & 78 \end{bmatrix}$$

Note that the columns of Y are labelled by the vertices in  $G_4$  they correspond to (the rows of Y follow the same order of indexing). Observe that  $Y \succeq 0$  — a UV-certificate for Y is

$$U := \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -73 & -26 & -141 & -26 & 60 & 132 & 61 \\ 0 & 124 & 0 & -124 & -200 & 0 & 200 \\ 27 & -181 & 247 & -181 & 51 & 159 & 51 \\ 0 & -527 & 0 & 527 & -326 & 0 & 326 \\ 1 & -166 & -73 & -166 & 449 & -556 & 449 \\ 1224 & 482 & 60 & 482 & 482 & 485 & 482 \end{bmatrix}, V := \begin{bmatrix} 2765 & 917 & 91 & 917 & 316 & -11 & 389 \\ 917 & 1308 & 3 & -212 & -136 & 10 & 29 \\ 91 & 3 & 601 & 3 & -280 & 71 & -139 \\ 917 & -212 & 3 & 1308 & 3 & 10 & -110 \\ 316 & -136 & -280 & 3 & 1328 & -155 & -45 \\ -11 & 10 & 71 & 10 & -155 & 664 & -287 \\ 389 & 29 & -139 & -110 & -45 & -287 & 1207 \end{bmatrix}$$

which gives  $7535Y = U^{\top}U + V$ . One can also check that  $Ye_i, Y(e_0 - e_i) \in FRAC(G_4)$  for every  $i \in V(G_4)$ . This shows that  $\bar{x} := \frac{1}{200}(78, 12, 78, 78, 78, 78, 78)^{\top} \in LS_+(G_4)$ . Now since  $\bar{e}^{\top}\bar{x} = 2.01 > 2 = \alpha(G_4)$ , we see that  $r_+(G_4) \geq 2$ . Since  $G_n$  contains  $G_4$  as an induced subgraph for all  $n \geq 4$ , we conclude that  $\alpha_{LS_+}(G_n) > 2$ . Then Lemma 7(ii) implies that  $\alpha_{LS_+}(H) > 2$ . Since  $\alpha(H) = 2$ , it follows that  $r_+(H) \geq 2$ .

Finally, notice that  $H - n_0$  must be a perfect graph, so  $r_+(H - n_0) \le 1$  and consequently  $r_+(H) \le 2$ . Thus, we conclude that  $r_+(H) = 2$ .

We remark that in the proof for  $r_+(G_{2,2}) \geq 2$  in [EMN06], the following certificate matrix was given:

$$\frac{1}{2688}\begin{bmatrix} 2688 & 769 & 769 & 769 & 769 & 769 & 1538 \\ 769 & 769 & 0 & 336 & 413\frac{7}{13} & 0 & 0 \\ 769 & 0 & 769 & 0 & 336 & 384 & 0 \\ 769 & 336 & 0 & 769 & 0 & 384 & 0 \\ 769 & 413\frac{7}{13} & 336 & 0 & 769 & 0 & 896 \\ 769 & 0 & 384 & 384 & 0 & 769 & 0 \\ 1538 & 0 & 0 & 0 & 896 & 0 & 1538 \end{bmatrix}$$

However, the certificate is incorrect:  $Y[2,4_0] = \frac{896}{2688} = \frac{1}{3} > Y[0,4_0]$ , and thus violates  $Ye_{4_0} \in \text{cone}(\text{FRAC}(G_{2,2}))$ . In fact, since the vector  $\frac{1}{2688}(769,769,769,769,769,1538)^{\top}$  contains only one entry greater than  $\frac{1}{3}$ , any certificate matrix for this vector cannot contain the entry  $\frac{1}{3}$  (which would have to appear in at least 2 columns in the certificate). Still, the claim that  $r_+(G_{2,2}) = 2$  is correct, as shown in the proof of Proposition 20.

Next, while all graphs in  $S_2(K_n)$  have LS<sub>+</sub>-rank 2, we show that not all graphs in  $S_2^2(K_n)$  have LS<sub>+</sub>-rank 3. Given a graph G, we say that a path in G is *sparse* if at most one of the vertices in the path has degree greater than 2 in G. For example, in Figure 8, the graph on the left contains a sparse path  $4_0, 4_1, 5_1, 5_0, 5_2$  of length 4, while the graph on the right also contains a sparse path  $4_0, 4_2, 3, 5_2, 5_0$  of length 4. Then we have the following.

**Proposition 21.** Let  $n \geq 4$ . If  $G \in \mathcal{S}_2^{n-3}(K_n)$  contains a sparse path of length at least 3, then G is not  $\ell$ -minimal.

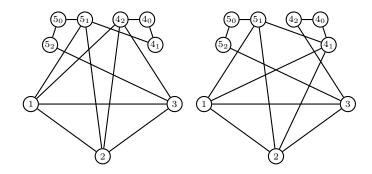


FIGURE 8. Two graphs in  $S_2^2(K_5)$  with sparse paths

Proof. Suppose  $u_1, u_2, \ldots, u_m$  form a sparse path in G where  $m \geq 4$ , and let i be the index such that  $\deg(u_j) = 2$  for all  $j \in [m], j \neq i$ . Then Proposition 6 implies that  $r_+(G - u_i) = r_+(G - U)$ , where  $U := \{u_1, \ldots, u_m\}$ . Since  $m \geq 4$ , G - U has 3(n-2) - |U| < 3(n-3) vertices, and so  $r_+(G - u_i) = r_+(G - U) \leq n-4$ . As a result,  $r_+(G) \leq n-3$ , and G is not  $\ell$ -minimal.  $\square$ 

Thus, both graphs in Figure 8 have LS<sub>+</sub>-rank at most 2. (In fact, they have rank 2 as they both contain  $G_{2,1}$  as an induced subgraph.) Next, we show that if we 2-stretch a vertex in  $K_n$ , and then 2-stretch one of the three new vertices in the stretched graph, the resulting graph cannot have LS<sub>+</sub>-rank 3.

**Proposition 22.** Let  $n \geq 4$ . Suppose  $G_1 \in \mathcal{S}_2(K_n)$  is obtained by stretching vertex n in  $K_n$ , and  $G_2 \in \mathcal{S}_2^2(K_n)$  is obtained by stretching vertex  $n_0, n_1$ , or  $n_2$  in  $G_1$ . Then  $r_+(G_2) = 2$ .

*Proof.* First, if  $G_2$  is obtained from  $G_1$  by stretching  $n_0$ , then  $n_{00}, n_{01}, n_{02}$  all have degree 2. Notice that  $G_2 - n_{00}$  must be a perfect graph, and so  $r_+(G_2 - n_{00}) \le 1$ , which implies  $r_+(G_2) \le 2$  in this case.

Otherwise, assume without loss of generality that  $G_2$  is obtained from  $G_1$  by stretching  $n_1$ , and that  $\{n_{12}, n_0\} \in E(G_2)$ . (Note that  $\{n_{11}, n_0\}$  may or may not be an edge.) Now notice that  $G_2 - n_{12}$  is a perfect graph, and thus,  $r_+(G_2) \leq 2$  in this case as well. Finally, since  $r_+(G_1) = 2$  (from Proposition 20) and  $G_2 \in \mathcal{S}(G_1)$ , Proposition 13(iii) implies that  $r_+(G_2) \geq 2$ .

Thus, to obtain a graph with LS<sub>+</sub>-rank 3 in  $\mathcal{S}_2^2(K_n)$ , it is necessary that we stretch two of the original vertices of  $K_n$ . (That is not sufficient though, as shown for the graphs in Figure 8.)

Next, observe that if G is an  $\ell$ -minimal graph, then it is necessary that STAB(G) has a facet with full support (or G would have a proper subgraph with the same  $LS_+$ -rank). We provide more circumstantial evidence that 2-stretching a number of original vertices of a complete graph is a promising approach for generating  $\ell$ -minimal graphs by showing that the stable set polytope of these graphs all have a full-support facet.

**Proposition 23.** Let  $k, \ell$  be integers where  $\ell \geq 3$  and  $\ell \geq k \geq 0$ . Suppose  $H \in \mathcal{S}_2^k(K_\ell)$  is obtained from  $K_\ell$  by 2-stretching k vertices in  $K_\ell$ . Then  $\sum_{i \in V(H)} x_i \leq k+1$  is a facet of STAB(H).

*Proof.* We prove our claim by induction on k. When k = 0,  $H = K_{\ell}$ , and the claim obviously holds. Next, assume  $1 \le k \le \ell$ . Let  $T \subseteq [n]$  be the vertices in  $K_{\ell}$  that were stretched to obtain H, and let  $G \in \mathcal{S}_2^{k-1}(K_{\ell})$  be a graph such that  $H \in \mathcal{S}_2(G)$ . (So there exists  $v \in T$  where H is obtained from G by stretching v.)

By the inductive hypothesis,  $\sum_{i \in V(G)} x_i \leq k$  is a facet of STAB(G). To prove our claim, we make use of Proposition 14 and show that  $d_1 = d_2 = 1$ . To do so, let  $A_1 := \Gamma_H(v_1) \setminus \{v_0\}$ ,  $A_2 :=$ 

 $\Gamma_H(v_2) \setminus \{v_0\},\$ 

$$c_1 := \max \left\{ a^\top x : x \in \text{STAB}(G), x_\ell = 0 \ \forall \ell \in \{v\} \cup A_2 \right\},$$
$$c_2 := \max \left\{ a^\top x : x \in \text{STAB}(G), x_\ell = 0 \ \forall \ell \in \{v\} \cup A_1 \right\}.$$

Then it suffices to prove that  $c_1 = c_2 = k$ , which would then imply that  $d_1 = d_2 = 1$ .

First, it is obvious that  $c_1, c_2 \leq k$  since  $\alpha(G) = k$ . Next, consider  $\Gamma(v_1) \subseteq V(H)$ . By the definition of the vertex-stretching operation, one of the following must hold:

• There exists an index  $j \in [n], j \neq v$  where  $j \notin T$  (so  $j \in V(H)$ ) and  $j \notin \Gamma(v_1)$ . Then

$$S := \{j\} \cup \{p_0 : p \in T, p \neq v\}$$

is a stable set that gives  $c_1 = k$ .

• There exists an index  $j \in [n], j \neq v$  where  $j \in T$  (so  $j_0, j_1, j_2 \in V(H)$ ) and  $j_0, j_1, j_2 \notin \Gamma(v_1)$ . Then

$$S := \{j_1, j_2\} \cup \{p_0 : p \in T, p \neq v, j\}$$

is a stable set that gives  $c_1 = k$ .

The same argument shows that  $c_2 = k$ , and this finishes the proof.

We remark that the assumption of stretching only the original vertices of  $K_{\ell}$  in Proposition 23 is necessary, as shown in the following example.

**Example 24.** Recall the graph  $G_{2,2}$  from Figure 1. Observe that  $G_{2,2} \in \mathcal{S}_2(K_4)$ , and that  $\bar{e}^{\top}x \leq 2$  is a facet of  $STAB(G_{2,2})$ . Now, we 2-stretch the vertex  $4_2 \in V(G_{2,2})$  to obtain  $H \in \mathcal{S}_2^2(K_4)$  as shown in Figure 9 (right). Observe that the subgraph of H induced by vertices  $1, 2, 3, 4_1, 4_0, 4_{21}$  is isomorphic to  $G_{2,1}$  from Figure 1. Thus,

$$(8) x_1 + x_2 + x_3 + x_{4_1} + x_{4_0} + x_{4_{21}} \le 2$$

is valid for STAB(H). (In fact, one can show that it is a facet of STAB(G) using Proposition 14).) This implies that  $\sum_{i \in V(H)} x_i \leq 3$ , which is the sum of (8) and the edge inequality  $x_{4_{20}} + x_{4_{22}} \leq 1$ , is not a facet of STAB(H).

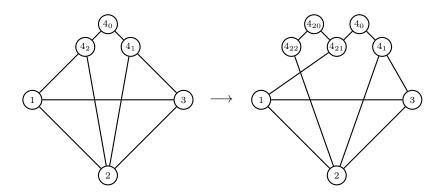


FIGURE 9. A graph in  $H \in \mathcal{S}^2(K_4)$  (right) where  $\bar{e}^{\top} x \leq \alpha(H)$  is not a facet of STAB(H)

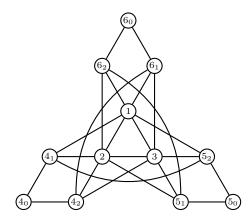


FIGURE 10. An alternative drawing of  $G_{4,1}$  to highlight its automorphisms

## 6. Existence of 4-minimal graphs

Recall the graph  $G_{4,1}$  (Figure 3), which was introduced in Section 1. We show in this section that  $r_+(G_{4,1}) = 4$ , providing what we believe to be the first known example of a 4-minimal graph (and the first advance in this direction since 2006 [EMN06]). Observe from its drawing in Figure 3 that  $G_{4,1} \in S_2^3(K_6)$ , and is obtained from stretching three of the original vertices in  $K_6$ . We also point out two important automorphisms of  $G_{4,1}$  that will be useful in simplifying our analysis of its LS<sub>+</sub>-rank. Consider the alternative drawing of  $G_{4,1}$  in Figure 10, and define the functions  $f_1, f_2 : V(G_{4,1}) \to V(G_{4,1})$  as follows:

Visually,  $f_1$  corresponds to rotating the graph  $G_{4,1}$  in Figure 10 counterclockwise by  $\frac{2\pi}{3}$ , and  $f_2$  corresponds to reflecting the figure along the centre vertical line. Now we are ready to prove the main result of this section.

# **Theorem 25.** The LS<sub>+</sub>-rank of $G_{4,1}$ is 4.

*Proof.* For convenience, let  $G := G_{4,1}$  throughout this proof. Since G has 12 vertices, by Theorem 1 it suffices to show that  $r_+(G) \ge 4$ . Consider the matrix  $Y_0$  defined as follows:

		1	2	3	$4_1$	$4_0$	$4_2$	$5_1$	$5_0$	$5_2$	$6_1$	$6_0$	$6_2$
	100000	25340	25340	25340	16500	75020	16500	16500	75020	16500	16500	75020	16500
	25340	25340	0	0	0	17502	7838	7838	17502	0	0	25340	0
	25340	0	25340	0	0	25340	0	0	17502	7838	7838	17502	0
	25340	0	0	25340	7838	17502	0	0	25340	0	0	17502	7838
	16500	0	0	7838	16500	0	8073	589	15911	0	589	15419	1081
	75020	17502	25340	17502	0	75020	0	15419	51150	15911	15911	51150	15419
$Y_0 :=$	16500	7838	0	0	8073	0	16500	1081	15419	589	0	15911	589 .
	16500	7838	0	0	589	15419	1081	16500	0	8073	589	15911	0
	75020	17502	17502	25340	15911	51150	15419	0	75020	0	15419	51150	15911
	16500	0	7838	0	0	15911	589	8073	0	16500	1081	15419	589
	16500	0	7838	0	589	15911	0	589	15419	1081	16500	0	8073
	75020	25340	17502	17502	15419	51150	15911	15911	51150	15419	0	75020	0
	16500	0	0	7838	1081	15419	589	0	15911	589	8073	0	16500

Again, the columns of  $Y_0$  are labelled by the vertices in G they correspond to. with the rows of  $Y_0$  following the same order of indexing.

We prove our claim by showing that  $Y_0 \in \widehat{LS}^3_+(G)$ . First, one can check that  $Y_0 \succeq 0$  (a UV-certificate is provided in Table 1). Moreover, observe that for all  $i, j \in V(G)$ ,

$$Y_0[i,j] = Y_0[f_1(i), f_1(j)] = Y_0[f_2(i), f_2(j)],$$

and thus the entries of  $Y_0$  exhibit the same symmetries of the graph that are exposed by the automorphisms  $f_1$  and  $f_2$ . Hence, to show that  $Y_0 \in \widehat{LS}^3_+(G)$ , it suffices to verify the conditions  $Y_0e_i, Y_0(e_0 - e_i) \in \operatorname{cone}(LS^2_+(G))$  for  $i \in \{1, 4_1, 6_0\}$ , since for every other vertex j there is an automorphism of G that would map j to one of these three vertices.

Next, notice that

$$\begin{split} Y_0e_1 &\leq 17502 \begin{bmatrix} 1 \\ \chi_{\{1,4_0,5_0,6_0\}} \end{bmatrix} + 7838 \begin{bmatrix} 1 \\ \chi_{\{1,4_2,5_1,6_0\}} \end{bmatrix}, \\ Y_0e_{4_1} &\leq 7838 \begin{bmatrix} 1 \\ \chi_{\{3,4_1,5_0,6_0\}} \end{bmatrix} + 589 \begin{bmatrix} 1 \\ \chi_{\{4_1,4_2,5_1,6_0\}} \end{bmatrix} + 6992 \begin{bmatrix} 1 \\ \chi_{\{4_1,4_2,5_0,6_0\}} \end{bmatrix} \\ &\quad + 492 \begin{bmatrix} 1 \\ \chi_{\{4_1,4_2,5_0,6_2\}} \end{bmatrix} + 589 \begin{bmatrix} 1 \\ \chi_{\{4_1,5_0,6_1,6_2\}} \end{bmatrix}, \\ Y_0(e_0 - e_{6_0}) &\leq 7366 \begin{bmatrix} 1 \\ \chi_{\{2,4_0,5_0,6_1\}} \end{bmatrix} + 476 \begin{bmatrix} 1 \\ \chi_{\{2,4_0,5_2,6_1\}} \end{bmatrix} + 476 \begin{bmatrix} 1 \\ \chi_{\{3,4_1,5_0,6_2\}} \end{bmatrix} \\ &\quad + 7366 \begin{bmatrix} 1 \\ \chi_{\{3,4_0,5_0,6_2\}} \end{bmatrix} + 605 \begin{bmatrix} 1 \\ \chi_{\{4_1,4_2,5_0,6_2\}} \end{bmatrix} + 605 \begin{bmatrix} 1 \\ \chi_{\{4_0,5_1,5_2,6_1\}} \end{bmatrix} \\ &\quad + 8058 \begin{bmatrix} 1 \\ \chi_{\{4_0,5_0,6_1,6_2\}} \end{bmatrix} + 28 \begin{bmatrix} 1 \\ 0 \end{bmatrix}. \end{split}$$

Since all incidence vectors above correspond to stable sets in G, we obtain that  $Y_0e_1, Y_0e_{4_1}, Y_0(e_0 - e_{6_0}) \in \text{cone}(\text{STAB}(G)) \subseteq \text{cone}(\text{LS}^2_+(G))$ . The details for  $Y_0e_{6_0}, Y_0(e_0 - e_1), Y_0(e_0 - e_{4_1}) \in \text{cone}(\text{LS}^2_+(G))$  are provided, respectively, in the proofs of Lemmas 39, 38, and 40 in Appendix A.

Finally, let  $\bar{x}$  be the vector such that  $Y_0e_0 = 100000 \begin{bmatrix} 1 \\ \bar{x} \end{bmatrix}$ . Since  $Y_0 \in \widehat{LS}^3_+(G)$ , we have  $\bar{x} \in LS^3_+(G)$ . Thus, we see that

$$\alpha_{\text{LS}^3_+}(G) \ge \bar{e}^\top \bar{x} = 4.0008 > 4 = \alpha(G).$$

Thus, 
$$r_+(G) \geq 4$$
.

Notice that  $G_{4,1}$  contains 24 edges. By Proposition 23, the inequality  $\bar{e}^{\top}x \leq 4$  is a facet of STAB(G) for every graph G in  $\mathcal{S}_2^3(K_6)$ , which contains  $G_{4,1}$ . Thus, by Lemma 7(ii) it follows that every graph in  $\mathcal{S}_2^3(K_6)$  which is a subgraph of  $G_{4,1}$  (which can have as few as 21 edges) also has LS<sub>+</sub>-rank 4, giving more examples of 4-minimal graphs. The six non-isomorphic proper subgraphs of  $G_{4,1}$  that belong to  $\mathcal{S}_2^3(K_6)$  are listed in Figure 11.

Moreover, the fact that  $G_{4,1}$  is 4-minimal also provides some new examples of 3-minimal graphs.

Corollary 26. Let  $G_{3,2} := G_{4,1} \ominus G_0$ . Then  $G_{3,2}$  is a 3-minimal graph.

*Proof.* Since  $r_+(G_{4,1}) = 4$ , there exists vertex  $i \in V(G_{4,1})$  where  $G \ominus i$  has LS<sub>+</sub>-rank 3, which implies that  $\deg(i) = 2$ , and so  $i \in \{4_0, 5_0, 6_0\}$ . Now observe that  $G_{4,1} \ominus 4_0, G_{4,1} \ominus 5_0, G_{4,1} \ominus 6_0$  are all isomorphic to each other. Thus,  $G_{3,2}$  is 3-minimal.

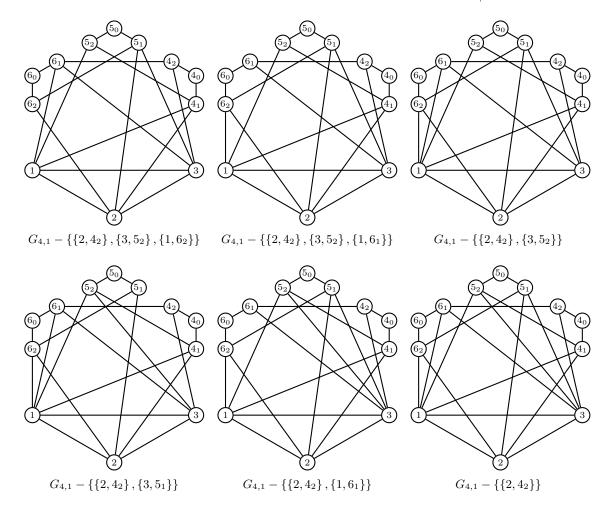


FIGURE 11. The six non-isomorphic proper subgraphs of  $G_{4,1}$  that belong to  $S_2^3(K_6)$  (and thus are 4-minimal)

By Lemma 7(ii) again, every graph in  $S_2^2(K_5)$  that is a subgraph of  $G_{3,2}$  is 3-minimal. Figure 12 illustrates  $G_{3,2}$  (top left) and its five non-isomorphic proper subgraphs that belong to  $S_2^2(K_5)$ . Notice that one of these graphs (top right of Figure 12) is isomorphic to  $G_{3,1}$ , the first 3-minimal graph discovered in [EMN06].

We close the section by showing that there are no 3-minimal graphs with fewer edges than  $G_{3,1}$ .

**Proposition 27.** Suppose G is a 3-minimal graph. Then  $|E(G)| \ge 14$ .

*Proof.* Since G is 3-minimal, there must exist vertex  $v_0$  where  $r_+(G \ominus v_0) = 2$ . This implies that  $|V(G \ominus v_0)| \ge 6$ , and thus  $\deg(v_0) \le 2$ . Since  $\ell$ -minimal graphs cannot have cut vertices, we see that  $\deg(v_0) = 2$  and  $|V(G \ominus v)| = 6$ , and so  $G \ominus v$  is isomorphic to either  $G_{2,1}$  (8 edges) or  $G_{2,2}$  (9 edges).

Let  $v_1, v_2$  be the two neighbours of  $v_0$ , and let  $A := \{v_0, v_1, v_2\}$  and  $B := V(G) \setminus A$ . Observe that

(9) 
$$|E(G)| = \delta(A) + \delta(B) + \delta(A, B).$$

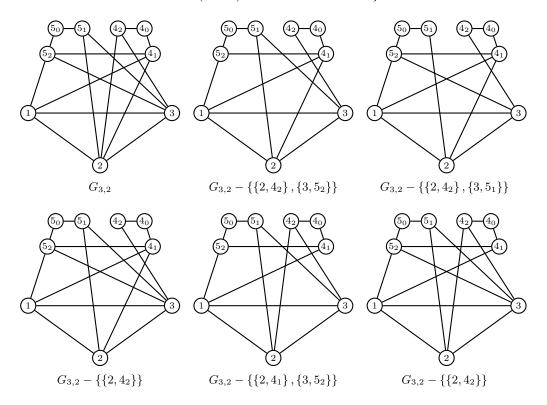


FIGURE 12. The graph  $G_{3,2}$  (top left) and its five non-isomorphic proper subgraphs that belong to  $S_2^2(K_5)$  (and thus are 3-minimal)

Since  $|E(G)| \le 13$ ,  $\delta(A) = 2$ , and  $\delta(B) \ge 8$ , we obtain  $\delta(A, B) \le 3$ . Again, G being 3-minimal implies that  $\deg(v_1), \deg(v_2) \ge 2$ , and so we obtain that  $2 \le \delta(\{v_1\}, B) + \delta(\{v_2\}, B) \le 3$ . Thus, we may assume without loss of generality that  $\delta(\{v_1\}, B) = 1$ , and let u be the only neighbour of  $v_1$  in B.

If  $\delta(\{v_2\}, B) = 1$ , then  $u, v_1, v_0, v_2$  form a sparse path of length 3 (with  $\deg(v_1) = \deg(v_0) = \deg(v_2) = 2$ ), and Proposition 21 implies that G is not 3-minimal. Now suppose  $\delta(\{v_2\}, B) = 2$ . This means that  $\delta(A, B) = 3$ , and so from (9) we know that  $|E(G)| = 13, \delta(B) = 8$ , and G - A is indeed isomorphic to  $G_{2,1}$  and not  $G_{2,2}$ .

Next, since  $r_+(G) = 3$ , we obtain that  $r_+(G - u) \ge 2$ . However, notice that  $v_0$  is a cut vertex in G - u. Thus, if we let  $A' := \{u, v_1, v_0\}$  and  $B' := V(G) \setminus A'$ , then we see that  $r_+(G - A') \ge 2$ . Since G - A' has 6 vertices, it must be isomorphic to  $G_{2,1}$  or  $G_{2,2}$ . Thus, we see that  $\delta(B') \ge 8$ . Also,  $\delta(A') = 2$  and

$$\delta(A', B') = \delta(\{v_0\}, B') + \delta(\{u\}, B') = 1 + (\deg(u) - 1) = \deg(u).$$

Since  $13 = |E(G)| = \delta(A') + \delta(A', B') + \delta(B')$ , we obtain that  $\deg(u) = \delta(A', B') = 3$ , and  $\delta(B') = 8$ . Thus, G - A' is also isomorphic to  $G_{2,1}$  and not  $G_{2,2}$ . For both G - A and G - A' to be isomorphic to  $G_{2,1}$ ,  $v_2$  must be adjacent to the two neighbours of u in  $G \oplus v_0$ . Thus, G is isomorphic to the graph shown in Figure 13.

However, notice that G - w has LS<sub>+</sub>-rank 1, which contradicts  $r_+(G) = 3$ . This completes the proof.

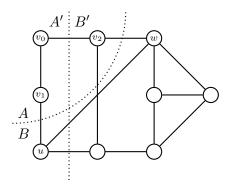


Figure 13. Illustrating the proof of Proposition 27

# 7. REVISITING $H_k$ AND CONSTRUCTING SPARSE GRAPHS WITH HIGH LS<sub>+</sub>-RANK

In this section, we revisit the graphs  $H_k$  defined in Section 1, and obtain other related graphs with high LS<sub>+</sub>-ranks by applying some of our results on vertex stretching. First, we point out that the LS<sub>+</sub>-rank lower bound in Theorem 2 also applies to some particular subgraphs of  $H_k$ . For every  $k \geq 3$ , define

$$H'_k := H_k - \{1_0, 1_2, 2_0, 2_1\}.$$

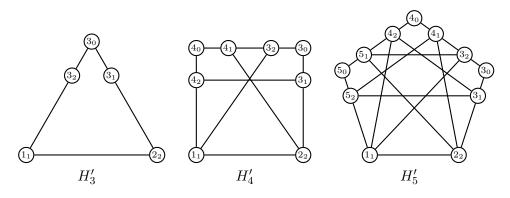


FIGURE 14. Several graphs in the family  $H'_k$ 

Figure 14 illustrates the graphs  $H'_k$  for k=3,4,5. Notice that  $H'_k \in \mathcal{S}_2^{k-2}(K_k)$  for all  $k \geq 3$ — this is apparent if one takes the drawings of  $H'_k$  from Figure 14 and relabels the vertices  $1_1$  and  $2_2$  by 1 and 2 respectively. Then we have the following.

**Proposition 28.** For every  $k \geq 3$ ,  $r_+(H'_k) \geq \frac{3k}{16}$ .

*Proof.* For convenience, let  $p := \left\lceil \frac{3k}{16} \right\rceil - 1$  throughout this proof. Also, given  $a, b \in \mathbb{R}$ , we define the vector  $w_k(a,b) \in \mathbb{R}^{V(H_k)}$  such that

$$[w_k(a,b)]_j := \begin{cases} a & \text{if } j \in \{i_1, i_2 : i \in [k]\}; \\ b & \text{if } j \in \{i_0 : i \in [k]\}. \end{cases}$$

In [AT23], it was shown that there exists real numbers a, b where  $w_k(a,b)$  is contained in  $LS^p_+(H_k)$ and violates the inequality

$$(10) w_k(k-1,k-2)^{\top} x \le k(k-1),$$

which is valid for  $STAB(H_k)$  [AT23, Lemma 8].

Now let  $w_k(a,b)' \in \mathbb{R}^{V(H_k')}$  be the vector obtained from  $w_k(a,b)$  by removing the four entries that correspond to vertices which are not in  $H'_k$ . Then by Lemma 4, we have  $w_k(a,b)' \in LS^p_+(H'_k)$ . On the other hand, the fact that  $w_k(a,b)$  violates (10) implies that (k-1)(2ka) + (k-2)(kb) >k(k-1), which implies that

$$\alpha_{\mathrm{LS}_{+}^{p}}(H'_{k}) \ge \bar{e}^{\top} w_{k}(a,b)' = (2k-2)a + (k-2)b > k-1.$$

However, since  $H'_k \in \mathcal{S}_2^{k-2}(K_k)$ , it follows from Lemma 19 that  $\alpha(H'_k) = k-1$ . This implies that  $w_k(a,b)' \in \mathrm{LS}_+^p(H'_k) \setminus \mathrm{STAB}(H'_k)$ , and that  $r_+(H'_k) \geq p+1 \geq \frac{3k}{16}$ .

In fact, we can use the argument above to find many subgraphs of  $H'_k$  for which the LS<sub>+</sub>-rank lower bound given in Proposition 28 applies.

**Proposition 29.** Let  $G \in \mathcal{S}^{k-2}(K_k)$  be a subgraph of  $H'_k$ . Then  $r_+(G) \geq \frac{3k}{16}$ .

*Proof.* Again, let  $p := \left\lceil \frac{3k}{16} \right\rceil - 1$ , and let  $G \in \mathcal{S}^{k-2}(K_k)$  be a subgraph of  $H'_k$ . Since  $\alpha_{\mathrm{LS}^p_+}(H'_k) > 1$ k-1 (as shown in the proof of Proposition 28, Lemma 7(ii) implies that  $\alpha_{LS^p}(G) > k-1$ . But then Lemma 19 implies that  $\alpha(G) = k - 1$ . Thus,  $r_+(G) \ge p + 1 \ge \frac{3k}{16}$ .

Given a graph G, define the edge density of G to be  $d(G) := \frac{|E(G)|}{\binom{|V(G)|}{|V(G)|}}$ . For instance, d(G) = 1 for complete graphs, and d(G) = 0 for empty graphs. An interesting contrast that has emerged in the study of lift-and-project relaxations of the stable set polytope of graphs is that dense graphs tend to have high lift-and-project ranks with respect to operators that produce polyhedral relaxations, whereas graphs from both ends of the density spectrum tend to be of small lift-andproject ranks with respect to semidefinite operators. Thus, it is interesting to note that

$$d(H'_k) = \frac{k^2 - k - 1}{\binom{3k - 4}{2}} = \frac{2}{9} + o(k).$$

It was pointed to us by a reviewer that the family of graphs  $H'_k$  coincide with the family of graphs  $G_k$  in [DV15, page 675]. It is very interesting that the families of graphs  $H'_k$  have been considered as challenging instances for other but related convex relaxations of the stable set polytope. These graphs are also related to four graphs  $G_8$ ,  $G_{11}$ ,  $G_{13}$  and  $G_{17}$  considered as minimal obstructions in [PnVZ07] to the hierarchies discussed there which are related to the hierarchy proposed in [dKP02]. The latter four graphs are related to our family  $H''_k$  below. These connections raise some more hope that some of our techniques and approaches in this paper may be useful for analyzing other lift-and-project operators.

Moreover, it follows from Proposition 29 that the LS<sub>+</sub>-rank lower bound we showed for  $H'_{k}$ also applies for many subgraphs of  $H'_k$  with lower edge densities. For an example, given  $k \geq 3$ , we define the graph  $H''_k$  where  $V(H''_k) := V(H'_k)$ , with  $E(H''_k)$  consisting of the following edges:

- (i)  $\{1_1, 2_2\}$ ;
- (ii)  $\{1_1, i_2\}, \{2_2, i_1\}, \{i_0, i_1\}, \text{ and } \{i_0, i_2\} \text{ for every } i \in \{3, \dots, k\};$ (iii)  $\{i_2, j_1\}$  for all  $i, j \in \{3, \dots, k\}$  where  $(j i) \mod (k 2) < \frac{k 2}{2};$
- (iv)  $\{i_2, j_1\}$  for all  $i, j \in \{3, ..., k\}$  where  $j i = \frac{k-2}{2}$

Observe that (iv) only contributes edges when k is even. Also, for every  $k \geq 3$ , notice that  $H''_k$  is a subgraph of  $H'_k$ , and that  $H''_k \in \mathcal{S}^{k-2}(K_k)$  (see Figures 15 and 16, respectively, for drawings of  $H''_5$  and  $H''_6$ ). Furthermore,  $H''_k$  has the fewest edges among all graphs in  $\mathcal{S}^{k-2}(K_k)$ . To see this, suppose we start with a complete graph  $K_k$  with vertex labels  $1_1, 2_2, 3, 4, \ldots, k$ , and stretch the vertices  $3, 4, \ldots, k$  to obtain a graph  $G \in \mathcal{S}^{k-2}(K_k)$ . If we define the sets  $S_1 \coloneqq \{1_1\}, S_2 \coloneqq \{2_2\}$ , and  $S_i \coloneqq \{i_0, i_1, i_2\}$  for all  $i \in \{3, \ldots, k\}$ , then there must be at least one edge in G joining  $S_i$  and  $S_j$  for all distinct  $i, j \in [k]$ . To minimize the number of edges in G, one can ensure that the sets  $A_1, A_2$  are disjoint in each vertex stretching operation. This would result in a graph with exactly one edge joining  $S_i, S_j$  for all distinct  $i, j \in [k]$ , which is indeed the case for  $H''_k$ .

It is easy to check that  $|E(H_k'')| = \frac{k^2+3k-8}{2}$ , and thus  $d(H_k'') = \frac{1}{9} + o(k)$ . Thus, we see that there are many subgraphs of  $H_k'$  with edge densities between  $\frac{1}{9}$  and  $\frac{2}{9}$  for which the rank lower bound in Proposition 29 applies.

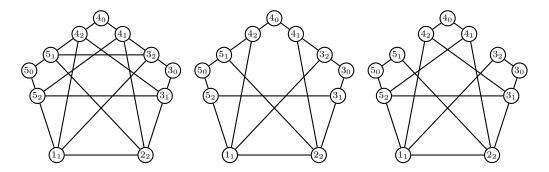


FIGURE 15.  $H'_5$  (left),  $H''_5$  (centre), and another subgraph of  $H'_5$  in  $S^3(K_5)$  with the fewest possible edges (right)

Finally, we point out that we can further stretch the vertices of  $H''_k$  to obtain very sparse graphs with arbitrarily high LS<sub>+</sub>-ranks. Given a graph G and a vertex  $v \in V(G)$ , define

$$w(v) \coloneqq \begin{cases} 1 & \text{if } \deg(v) \le 3; \\ \deg(v) - 1 & \text{if } \deg(v) \ge 4. \end{cases}$$

We also define  $w(G) := \sum_{v \in V(G)} w(v)$ . Then we have the following.

**Lemma 30.** For every graph G, there exists a graph H that can be obtained from G by a sequence of vertex stretching operations where  $\deg(v) \leq 3$  for all  $v \in V(H)$ , and  $|V(H)| \leq w(G)$ .

*Proof.* First, if every vertex in G has degree at most 3, then H = G suffices, so we now assume there exists  $v \in V(G)$  with  $\deg(v) \geq 4$ . Next, we define

$$w_1(G) := |\{v \in V(G) : \deg(v) \ge 4\}|,$$
  
 $w_2(G) := \sum_{i \in V(G)} \max\{\deg(i) - 3, 0\}.$ 

Then  $w(G) = |V(G)| + w_1(G) + w_2(G)$  for every graph G. It is helpful to think of  $w_2(G)$  as the total "excess" vertex degree in G, and  $w_2(G) = 0$  if and only if  $\deg(v) \leq 3$  for all  $v \in V(G)$ . Now notice that

• If  $v \in V(G)$  has  $\deg(v) = 4$ , we can 2-stretch it with  $|A_1| = |A_2| = 2$ . In this case, we obtain  $H \in \mathcal{S}(G)$  with |V(H)| = |V(G)| + 2,  $w_1(H) = w_1(G) - 1$ , and  $w_2(H) = w_2(G) - 1$ .

- If  $v \in V(G)$  has  $\deg(v) = 5$ , we can 3-stretch it with  $|A_1| = |A_2| = 2$  and  $|A_3| = 1$ . In this case, we obtain  $H \in \mathcal{S}(G)$  with |V(H)| = |V(G)| + 3,  $w_1(H) = w_1(G) - 1$ , and  $w_2(H) = w_2(G) - 2$ .
- If  $v \in V(G)$  with  $\deg(v) = p \geq 6$ , we can 3-stretch it with  $|A_1| = |A_2| = 2$  and  $|A_3| = p-4$ . In this case, we obtain  $H \in \mathcal{S}(G)$  with |V(H)| = |V(G)| + 3,  $w_1(H) \leq w_1(G)$ , and  $w_2(H) = w_2(G) 3$ . (More precisely, notice that  $w_1(H) = w_1(G) 1$  if p = 6 and  $w_1(H) = w_1(G)$  if  $p \geq 7$ .)

In all cases, we see that given a graph G with  $w_2(G) > 0$ , we can apply a stretching operation to obtain  $H \in \mathcal{S}(G)$  such that  $w(H) \leq w(G)$  and  $w_2(H) < w_2(G)$ . Iterating this process would result in a graph H with  $w_1(H) = w_2(H) = 0$ , which would satisfy  $|V(H)| = w(H) \leq w(G)$ .  $\square$ 

Then we have the following.

**Theorem 31.** For every  $k \geq 5$ , there exists a graph G on  $k^2 - 4$  vertices such that  $\deg(i) \leq 3$  for every  $i \in V(G)$ , and  $r_+(G) \geq r_+(H''_k)$ .

Proof. Given  $k \geq 5$ , consider the graph  $H_k''$ . Notice that  $\deg(1_1) = \deg(2_2) = k-1$ . Moreover, for every  $i \in \{3, \ldots, k\}$ , we have  $\deg(i_0) = 2$ ,  $\deg(i_1), \deg(i_2) \geq 3$ , and  $\deg(i_1) + \deg(i_2) = k+1$ . Thus, using notation from the proof of Lemma 30, we obtain that  $|V(H_k'')| = 3k-4$ ,  $w_1(H_k'') \leq 2k-2$ , and  $w_2(H_k'') = k^2 - 5k + 2$  (as each of  $1_1, 2_2$  contributes k-4 to the sum, while  $i_1$  and  $i_2$  together contribute k-5 for every  $i \in \{3, \ldots, k\}$ ). Therefore,  $w(H_k'') \leq k^2 - 4$ . Thus, we can apply Lemma 30 to obtain a graph G from stretching vertices of  $H_k''$  where  $|V(G)| \leq k^2 - 4$  and  $\deg(v) \leq 3$  for all  $v \in V(G)$ . Since stretching a vertex cannot decrease the LS<sub>+</sub>-rank of a graph (Proposition 13), the claim follows.

Note that the bound  $w_1(H_k'') \le 2k-2$  is not tight for k=5 and k=6. In those cases, we can obtain a yet better bound as  $w(H_5'') = 15$  and  $w(H_6'') = 28$ . Figure 16 illustrates  $H_6''$  (left), and a stretched graph with  $w(H_6'') = 28$  vertices which has maximum degree 3 (right). Note that we suppressed the vertex labels in this figure to reduce cluttering.

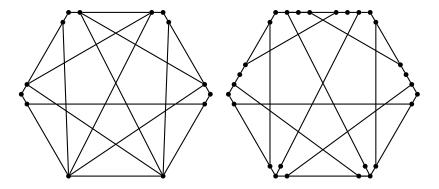


FIGURE 16.  $H_6''$  (left), and a 28-vertex graph with maximum degree 3 obtained from stretching  $H_6''$  (right)

For example, Figure 16 illustrates  $H_6''$  and one possible graph obtained from successively stretching vertices of degree greater than 3 until there are no such vertices. Note that we suppressed the vertex labels in this figure to reduce cluttering.

Also, since  $r_+(H_k'') = \Theta(k)$ , it follows from Theorem 31 that there exists a family of graphs G with maximum degree 3 where  $r_+(G) = \Omega(\sqrt{|V(G)|})$ . This bound asymptotically matches

the previously known bound achieved by line graphs of odd cliques, whose vertex degrees grow without bound.

#### 8. Some Future Research Directions

In this section, we mention some follow-up questions to our work in this manuscript that could lead to interesting future research.

**Problem 32.** Is there an  $\ell$ -minimal graph G in  $\mathcal{S}^{\ell-1}(K_{\ell+2})$  for all  $\ell \in \mathbb{N}$ ?

Results from [LT03, EMN06] show that the answer is "yes" for  $\ell \in \{1, 2, 3\}$ . Our 4-minimal graph  $G_{4,1}$  shows that this is also true for  $\ell = 4$ . Does the pattern continue for larger  $\ell$ ? And more importantly, how can we verify the LS<sub>+</sub>-rank of these graphs analytically, as opposed to primarily relying on specific numerical certificates?

**Problem 33.** Given  $\ell \in \mathbb{N}$ , what are the maximum and minimum possible edge densities of  $\ell$ -minimal graphs?

Given  $\ell \in \mathbb{N}$ , let  $d^+(\ell)$  (resp.  $d^-(\ell)$ ) be the maximum (resp. minimum) possible edge density of an  $\ell$ -minimal graph. It was previously known that  $d^+(1) = d^-(1) = 1$  (attained by the 3-cycle),  $d^+(2) = \frac{3}{5} \ (G_{2,2}), \ d^-(2) = \frac{8}{15} \ (G_{2,1}), \ \text{and} \ d^-(3) \leq \frac{7}{18} \ (G_{3,1}).$  In this work we showed that  $d^-(3) = \frac{7}{18}$  (Proposition 27) and  $d^+(3) \geq \frac{4}{9} \ (G_{3,2}).$  For  $\ell = 4$ , the discovery of  $G_{4,1}$  and the other 4-minimal graphs presented in Figure 11 show that  $d^-(4) \leq \frac{7}{22}$  and  $d^+(4) \geq \frac{4}{11}$ . Can we prove tight bounds for  $d^+(\ell)$  and/or  $d^-(\ell)$  in general?

**Problem 34.** How many non-isomorphic  $\ell$ -minimal graphs are there for each  $\ell \geq 1$ ?

Given  $\ell \in \mathbb{N}$ , let  $c(\ell)$  denote the number of non-isomorphic  $\ell$ -minimal graphs. We know that c(1) = 1 (the triangle) and c(2) = 2 ( $G_{2,1}$  and  $G_{2,2}$ ). We showed in Section 6 that  $c(3) \geq 6$  ( $G_{3,2}$  and its subgraphs in Figure 12) and  $c(4) \geq 7$  ( $G_{4,1}$  and its subgraphs in Figure 11). Does  $c(\ell)$  grow without bound as  $\ell$  increases? If so, at what rate asymptotically?

**Problem 35.** What is the fastest growing function f such that there exist graphs G with maximum degree at most three and  $r_+(G) = \Theta(f(|V(G)|))$ ?

**Problem 36.** What is the fastest growing function f such that there exist cubic graphs G with  $r_+(G) = \Theta(f(|V(G)|))$ ?

We proved in Section 7 that there exist very sparse graphs (maximum degree at most three) with  $r_+(G) = \Theta(\sqrt{|V(G)|})$ . Since all graphs G with maximum degree at most two satisfy  $r_+(G) \leq 1$ , Problems 35 and 36 are really about the sparsest graphs with high LS<sub>+</sub>-ranks.

**Problem 37.** What can we say about the lift-and-project ranks of graphs for other positive semidefinite lift-and-project operators? To start with some concrete questions for this research problem, what are the solutions of Problems 32-36 when we replace LS<sub>+</sub> with Las, BZ<sub>+</sub>,  $\Theta_k$ , or SA<sub>+</sub>? (For Problem 32, we may have different sets  $\mathcal{S}$ , based on different graph operations, for different lift-and-project operators.)

After LS<sub>+</sub>, many stronger semidefinite lift-and-project operators (such as Las [Las01], BZ<sub>+</sub> [BZ04],  $\Theta_k$  [GPT10], and SA<sub>+</sub> [AT16]) have been proposed. While these stronger operators are capable of producing tighter relaxations than LS<sub>+</sub>, these SDP relaxations can also be more computationally challenging to solve. For instance, while the LS<sup>k</sup><sub>+</sub>-relaxation of a set  $P \subseteq [0,1]^n$  involves  $O(n^k)$  PSD constraints of order O(n), the operators Las<sup>k</sup>, BZ<sup>k</sup><sub>+</sub> and SA<sup>k</sup><sub>+</sub> all impose one (or more) PSD constraint of order  $\Omega(n^k)$  in their formulations. We have already briefly

mentioned at the end of Section 3 that some of our tools for analyzing LS<sub>+</sub> relaxations can be extended to these other operators. More generally, it would be interesting to determine the corresponding properties of graphs which are minimal with respect to these stronger lift-and-project operators.

#### **DECLARATIONS**

Conflict of interest: The authors declare that they have no conflict of interest.

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### APPENDIX A. PROOFS OF LEMMAS 38, 39, AND 40

The following lemmas provide the deferred technical details from the proof of Theorem 25. To reduce cluttering, given  $S \subseteq [n]$  we will let  $\hat{\chi}_S$  denote the vector  $\begin{bmatrix} 1 \\ \chi_S \end{bmatrix} \in \mathbb{R}^{n+1}$ .

**Lemma 38.** Let  $Y_0$  be as defined in the proof of Theorem 25. Then  $Y_0(e_0-e_1) \in \text{cone}(LS^2_+(G_{4,1}))$ .

*Proof.* First, notice that  $[Y_0(e_0 - e_1)]_1 = 0$ . Thus, let  $G' := G_{4,1} - 1$  and v be the restriction of  $Y_0(e_0 - e_1)$  to the coordinates indexed by  $\operatorname{cone}(LS^2_+(G'))$ . Then, by Lemma 4, it suffices to show that  $v \in \operatorname{cone}(LS^2_+(G'))$ . Consider the matrix

We claim that  $Y_2 \in \widehat{LS}^2_+(G')$ . First, one can verify that  $Y_2 \succeq 0$  (a UV-certificate is provided in Table 1). Also, notice that the function  $f_2$  (restricted to V(G')) is an automorphism of G'. Moreover, observe that for all  $i, j \in V(G')$ ,  $Y_2[i, j] = Y_2[f_2(i), f_2(j)]$ . Thus, by symmetry, it only remains to prove the conditions  $Y_2e_i, Y_2(e_0 - e_i) \in \text{cone}(LS_+(G'))$  for  $i \in \{2, 4_1, 4_0, 4_2, 6_1, 6_0\}$ . First, notice that

•  $[Y_2e_{4_0}]_0 = [Y_2e_{4_0}]_{4_0}$ ,  $[Y_2e_{4_0}]_{4_1} = [Y_2e_{4_0}]_{4_2} = 0$ , and that the following matrix certifies that  $Y_2e_{4_0}$  (with the entries corresponding to vertices  $4_1, 4_0, 4_2$  removed) belongs to  $\operatorname{cone}(\operatorname{LS}_+(G' \ominus 4_0))$ .

•  $[Y_2e_{6_0}]_0 = [Y_2e_{6_0}]_{6_0}$ ,  $[Y_2e_{6_0}]_{6_1} = [Y_2e_{6_0}]_{6_2} = 0$ , and that the following matrix certifies that  $Y_2e_{6_0}$  (with the entries corresponding to vertices  $6_1, 6_0, 6_2$  removed) belongs to cone(LS<sub>+</sub>( $G' \ominus 6_0$ )).

•  $[Y_2(e_0 - e_2)]_2 = 0$ , and that the following matrix certifies that  $Y_2(e_0 - e_2)$  (with the entry corresponding to vertex 2 removed) belongs to cone(LS<sub>+</sub>(G' - 2)).

•  $[Y_2(e_0 - e_{4_1})]_{4_1} = 0$ , and that the following matrix certifies that  $Y_2(e_0 - e_{4_1})$  (with the entry corresponding to vertex  $4_1$  removed) belongs to cone(LS<sub>+</sub>( $G' - 4_1$ )).

Also, notice that  $Y_{21}e_0 = Y_{21}(e_{5_0} + e_{5_2})$ . Thus, if we let  $Y'_{21}$  be the matrix obtained from  $Y_{21}$  by removing the 0<sup>th</sup> row and column, then we see that  $Y'_{21} \succeq 0 \Rightarrow Y_{21} \succeq 0$ . The UV-certificates of  $Y'_{21}, Y_{22}, Y_{23}$ , and  $Y_{24}$  are provided in Table 1.

Next, observe that

$$\begin{split} Y_2e_2 &\leq 8291\hat{\chi}_{\{2,4_0,5_0,6_1\}} + 8873\hat{\chi}_{\{2,4_0,5_0,6_0\}} + 72\hat{\chi}_{\{2,4_0,5_2,6_1\}} + 8104\hat{\chi}_{\{2,4_0,5_2,6_0\}}, \\ Y_2e_4 &\leq 6365\hat{\chi}_{\{3,4_1,5_0,6_0\}} + 1811\hat{\chi}_{\{3,4_1,5_0,6_2\}} + 342\hat{\chi}_{\{4_1,4_2,5_1,6_0\}} + 7361\hat{\chi}_{\{4_1,4_2,5_0,6_0\}} \\ &\quad + 617\hat{\chi}_{\{4_1,4_2,5_0,6_2\}} + 4\hat{\chi}_{\{4_1,5_0,6_1,6_2\}}, \\ Y_2e_{4_2} &\leq 642\hat{\chi}_{\{4_1,4_2,5_1,6_0\}} + 7678\hat{\chi}_{\{4_1,4_2,5_0,6_0\}} + 342\hat{\chi}_{\{4_2,5_1,5_2,6_0\}}, \\ Y_2e_{6_1} &\leq 6764\hat{\chi}_{\{2,4_0,5_0,6_1\}} + 1599\hat{\chi}_{\{2,4_0,5_2,6_1\}} + 4\hat{\chi}_{\{4_1,5_0,6_1,6_2\}} + 7300\hat{\chi}_{\{4_0,5_0,6_1,6_2\}} \\ &\quad + 833\hat{\chi}_{\{4_0,5_2,6_1,6_2\}}, \end{split}$$

$$\begin{split} Y_2(e_0-e_{4_0}) & \leq 7254 \hat{\chi}_{\{3,4_1,5_0,6_0\}} + 1004 \hat{\chi}_{\{3,4_1,5_0,6_2\}} + 489 \hat{\chi}_{\{4_1,4_2,5_1,6_0\}} + 6472 \hat{\chi}_{\{4_1,4_2,5_0,6_0\}} \\ & + 1291 \hat{\chi}_{\{4_1,4_2,5_0,6_2\}} + 137 \hat{\chi}_{\{4_1,5_0,6_1,6_2\}} + 495 \hat{\chi}_{\{4_2,5_1,5_2,6_0\}}, \\ Y_2(e_0-e_{4_2}) & \leq 832 \hat{\chi}_{\{2,4_0,5_0,6_1\}} + 3414 \hat{\chi}_{\{2,4_0,5_0,6_0\}} + 496 \hat{\chi}_{\{2,4_0,5_2,6_1\}} + 919 \hat{\chi}_{\{2,4_0,5_2,6_0\}} \\ & + 5480 \hat{\chi}_{\{3,4_1,5_0,6_0\}} + 2094 \hat{\chi}_{\{3,4_1,5_0,6_2\}} + 2827 \hat{\chi}_{\{3,4_0,5_0,6_0\}} + 1634 \hat{\chi}_{\{3,4_0,5_0,6_2\}} \\ & + 700 \hat{\chi}_{\{4_1,5_0,6_1,6_2\}} + 526 \hat{\chi}_{\{4_0,5_1,5_2,6_1\}} + 1070 \hat{\chi}_{\{4_0,5_1,5_2,6_0\}} + 690 \hat{\chi}_{\{4_0,5_0,6_1,6_2\}} \\ & + 455 \hat{\chi}_{\{4_0,5_2,6_1,6_2\}} + 126 \hat{\chi}_{\{4_2,5_1,5_2,6_0\}} + \frac{44735}{57518} Y_2 e_{4_0}, \\ Y_2(e_0-e_{6_1}) & \leq 275 \hat{\chi}_{\{2,4_0,5_0,6_0\}} + 186 \hat{\chi}_{\{3,4_1,5_0,6_0\}} + 2333 \hat{\chi}_{\{3,4_1,5_0,6_2\}} + 186 \hat{\chi}_{\{3,4_0,5_0,6_0\}} \\ & + 5933 \hat{\chi}_{\{3,4_0,5_0,6_2\}} + 140 \hat{\chi}_{\{4_1,4_2,5_0,6_2\}} + 227 \hat{\chi}_{\{4_0,5_1,5_2,6_0\}} + \frac{48880}{49680} Y_2 e_{6_0}, \\ Y_2(e_0-e_{6_0}) & \leq 7474 \hat{\chi}_{\{2,4_0,5_0,6_1\}} + 978 \hat{\chi}_{\{2,4_0,5_2,6_1\}} + 978 \hat{\chi}_{\{3,4_1,5_0,6_2\}} + 7474 \hat{\chi}_{\{3,4_0,5_0,6_2\}} \\ & + 1454 \hat{\chi}_{\{4_1,5_0,6_1,6_2\}} + 5168 \hat{\chi}_{\{4_0,5_0,6_1,6_2\}} + 1454 \hat{\chi}_{\{4_0,5_2,6_1,6_2\}}. \end{split}$$

Since all incidence vectors above correspond to stable sets in G', and we already showed earlier that  $Y_2e_{4_0}, Y_2e_{6_0} \in \text{cone}(LS_+(G'))$ , we obtain that all the vectors above belong to  $\text{cone}(LS_+(G'))$ . Thus, we conclude that  $Y_0(e_0 - e_1) \in \text{cone}(LS_+^2(G_{4,1}))$ .

**Lemma 39.** Let  $Y_0$  be as defined in the proof of Theorem 25. Then  $Y_0e_{6_0} \in \text{cone}(LS^2_+(G_{4,1}))$ .

Proof. First, notice that  $[Y_0e_{6_0}]_0 = [Y_0e_{6_0}]_{6_0}$ , and  $[Y_0e_{6_0}]_{6_1} = [Y_0e_{6_0}]_{6_2} = 0$ . Thus, let  $G' := G_{4,1} \ominus G_0$  and v be the restriction of  $Y_0e_{6_0}$  to the coordinates indexed by  $\operatorname{cone}(LS^2_+(G'))$ . Then, by Lemma 4, it suffices to show that  $v \in \operatorname{cone}(LS^2_+(G'))$ . Consider the matrix

We claim that  $Y_1 \in \widehat{\mathrm{LS}}^2_+(G')$ . First, one can verify that  $Y_1 \succeq 0$  (a UV-certificate is provided in Table 1). Also, notice that the function  $f_2$  (restricted to V(G')) is an automorphism of G'. Moreover, observe that for all  $i, j \in V(G')$ ,  $Y_1[i, j] = Y_1[f_2(i), f_2(j)]$ . Thus, by symmetry, it only remains to prove the conditions  $Y_1e_i, Y_1(e_0 - e_i) \in \mathrm{cone}(\mathrm{LS}_+(G'))$  for  $i \in \{1, 2, 4_1, 4_0, 4_2\}$ .

First, notice that  $[Y_1e_{4_0}]_0 = [Y_1e_{4_0}]_{4_0}$ ,  $[Y_1e_{4_0}]_{4_1} = [Y_1e_{4_0}]_{4_2} = 0$ , and that the following matrix certifies that  $Y_1e_{4_0}$  (with the entries corresponding to vertices  $4_1, 4_0, 4_2$  removed) belongs to cone(LS<sub>+</sub>( $G' \ominus 4_0$ )). (See Table 1 for a UV-certificate.)

$$Y_{11} := \begin{bmatrix} 51150 & 17400 & 17502 & 9571 & 15485 & 27920 & 14993 \\ 17400 & 17400 & 0 & 0 & 7544 & 9856 & 0 \\ 17502 & 0 & 17502 & 0 & 0 & 10450 & 7052 \\ 9571 & 0 & 0 & 9571 & 0 & 9571 & 0 \\ 15485 & 7544 & 0 & 0 & 15485 & 0 & 7941 \\ 27920 & 9856 & 10450 & 9571 & 0 & 27920 & 0 \\ 14993 & 0 & 7052 & 0 & 7941 & 0 & 14993 \end{bmatrix}$$

Now consider the following vectors:

Notice that  $z^{(1)} \in \text{cone}(LS_+(G'))$  follows from  $Y_1e_{4_0} \in \text{cone}(LS_+(G'))$  as shown above. Then it follows from the symmetry of G' that  $z^{(2)} \in \text{cone}(LS_+(G'))$  as well.  $z^{(3)}, z^{(4)} \in \text{cone}(LS_+(G'))$  follows respectively from  $Y_2e_{4_0}, Y_2e_{6_0} \in \text{cone}(LS_+(G_{4,1}-1))$ , as shown in Lemma 38. Next, observe that

$$\begin{split} Y_1e_1 &\leq 17400\hat{\chi}_{\{1,4_0,5_0\}} + 7940\hat{\chi}_{\{1,4_2,5_1\}}, \\ Y_1e_2 &\leq 9571\hat{\chi}_{\{2,4_0,5_0\}} + 7931\hat{\chi}_{\{2,4_0,5_2\}}, \\ Y_1e_{4_1} &\leq 7931\hat{\chi}_{\{3,4_1,5_0\}} + 396\hat{\chi}_{\{4_1,4_2,5_1\}} + 7092\hat{\chi}_{\{4_1,4_2,5_0\}}, \\ Y_1e_{4_2} &\leq 414\hat{\chi}_{\{1,4_0,5_1\}} + 7523\hat{\chi}_{\{2,4_0,5_0\}} + 7974\hat{\chi}_{\{3,4_0,5_0\}}, \\ Y_1(e_0 - e_1) &\leq 874\hat{\chi}_{\{2,4_0,5_0\}} + 3160\hat{\chi}_{\{2,4_0,5_2\}} + 3160\hat{\chi}_{\{3,4_1,5_0\}} + 874\hat{\chi}_{\{3,4_0,5_0\}} + 1100\hat{\chi}_{\{4_1,4_2,5_0\}} \\ &\qquad + 1100\hat{\chi}_{\{4_0,5_1,5_2\}} + \frac{39412}{49680}z^{(4)}, \\ Y_1(e_0 - e_2) &\leq 1729\hat{\chi}_{\{1,4_0,5_1\}} + 6165\hat{\chi}_{\{1,4_0,5_0\}} + 626\hat{\chi}_{\{1,4_2,5_1\}} + 1749\hat{\chi}_{\{1,4_2,5_0\}} \\ &\qquad + 4298\hat{\chi}_{\{3,4_1,5_0\}} + 2999\hat{\chi}_{\{3,4_0,5_0\}} + 1009\hat{\chi}_{\{4_1,4_2,5_1\}} + 1751\hat{\chi}_{\{4_1,4_2,5_0\}} \\ &\qquad + 1959\hat{\chi}_{\{4_0,5_1,5_2\}} + 971\hat{\chi}_{\{4_2,5_1,5_2\}} + \frac{34235}{57518}z^{(3)} + 27\hat{\chi}_{\emptyset}, \\ Y_1(e_0 - e_{4_1}) &\leq 498\hat{\chi}_{\{1,4_0,5_1\}} + 2500\hat{\chi}_{\{1,4_0,5_0\}} + 639\hat{\chi}_{\{1,4_2,5_1\}} + 6832\hat{\chi}_{\{1,4_2,5_0\}} \\ &\qquad + 1613\hat{\chi}_{\{2,4_0,5_0\}} + 1022\hat{\chi}_{\{2,4_0,5_2\}} + 1421\hat{\chi}_{\{3,4_0,5_0\}} + 478\hat{\chi}_{\{4_0,5_1,5_2\}} \\ &\qquad + 952\hat{\chi}_{\{4_2,5_1,5_2\}} + \frac{20799}{51150}z^{(1)} + \frac{22819}{51150}z^{(2)} + 28\hat{\chi}_{\emptyset}, \\ Y_1(e_0 - e_{4_0}) &\leq 452\hat{\chi}_{\{1,4_0,5_1\}} + 7504\hat{\chi}_{\{2,4_0,5_0\}} + 7931\hat{\chi}_{\{3,4_1,5_0\}} + 7955\hat{\chi}_{\{3,4_0,5_0\}} + 28\hat{\chi}_{\emptyset}, \\ Y_1(e_0 - e_{4_2}) &\leq 234\hat{\chi}_{\{1,4_0,5_1\}} + 195\hat{\chi}_{\{2,4_0,5_2\}} + 7935\hat{\chi}_{\{3,4_1,5_0\}} + 93\hat{\chi}_{\{3,4_0,5_0\}} \\ &\qquad + \frac{46278}{51150}z^{(1)} + \frac{4354}{51150}z^{(2)} + 20\hat{\chi}_{\emptyset}. \end{split}$$

Since all incidence vectors above correspond to stable sets in G', we obtain that all the vectors above belong to cone(LS<sub>+</sub>(G')). Thus, we conclude that  $Y_0e_{6_0} \in \text{cone}(LS_+^2(G_{4,1}))$ .

**Lemma 40.** Let  $Y_0$  be as defined in the proof of Theorem 25. Then  $Y_0(e_0-e_{4_1}) \in \text{cone}(LS^2_+(G_{4,1}))$ .

*Proof.* For convenience, let  $G := G_{4,1}$  throughout this proof. Using  $Y_0 e_{6_0} \in \text{cone}(LS^2_+(G))$  from Lemma 39 and the symmetry of G, we know that the vector

belongs to cone( $LS^2_+(G)$ ). Now observe that

$$Y_0(e_0 - e_{4_1}) \leq \frac{2}{3}z + \frac{1}{3} \Big( 7726\hat{\chi}_{\{1,4_0,5_1,6_0\}} + 17105\hat{\chi}_{\{1,4_0,5_0,6_0\}} + 16187\hat{\chi}_{\{1,4_2,5_0,6_0\}}$$

$$+ 8509\hat{\chi}_{\{2,4_0,5_0,6_1\}} + 8324\hat{\chi}_{\{2,4_0,5_0,6_0\}} + 8509\hat{\chi}_{\{2,4_0,5_2,6_0\}} + 9486\hat{\chi}_{\{3,4_0,5_0,6_0\}}$$

$$+ 8017\hat{\chi}_{\{3,4_0,5_0,6_2\}} + 7403\hat{\chi}_{\{4_0,5_0,6_1,6_2\}} + 9170\hat{\chi}_{\{4_2,5_1,5_2,6_0\}} + 24\hat{\chi}_{\emptyset} \Big).$$

Notice that all incidence vectors above correspond to stable sets in G. Since cone( $LS^2_+(G)$ ) is a lower-comprehensive convex cone, it follows that  $Y_0(e_0 - e_{4_1}) \in cone(LS^2_+(G))$ .

Finally, we provide in Table 1 the UV-certificates of all PSD matrices used in Theorem 25 and Lemmas 38, 39, and 40.

	TI.	V
$Y_0$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
$Y_2$	$ \begin{bmatrix} -2 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1$	$ \begin{bmatrix} 4918 & 41 & -26 & -35 & -29 & -89 & -233 & 38 & -35 & -130 & 576 & -9 \\ 41 & 6219 & 172 & 148 & 1281 & -511 & 448 & -1143 & 908 & -675 & 173 & -80 \\ -26 & 172 & 4074 & -79 & -16 & 399 & -532 & 329 & 1079 & 54 & 115 & -922 \\ -35 & 148 & -79 & 5725 & -112 & 974 & -28 & -20 & 201 & -548 & 953 & -344 \\ -29 & 1281 & -16 & -112 & 4852 & -1238 & -471 & -31 & -79 & -27 & -22 & -1183 \\ -89 & -511 & 399 & 974 & -1238 & 5976 & -273 & -691 & 12 & -533 & 26 & -469 \\ -233 & 448 & -532 & -28 & -471 & -273 & 6481 & -948 & 934 & -803 & 289 & -295 \\ 38 & -1143 & 329 & -20 & -31 & -691 & -948 & 4677 & -53 & -1083 & 36 & -14 \\ -35 & 908 & 1079 & 201 & -79 & 12 & 934 & -53 & 5504 & -279 & 953 & -613 \\ -130 & -675 & 54 & -548 & -27 & -533 & -803 & -1083 & -279 & 4546 & 77 & -53 \\ 576 & 173 & 115 & 953 & -22 & 26 & 289 & 36 & 953 & 77 & 9521 & -142 \\ -9 & -80 & -922 & -344 & -1183 & -469 & -295 & -14 & -613 & -53 & -142 & 4375 \end{bmatrix} $
$Y_{21}'$	$ \begin{bmatrix} -44 & -1 & 0 & -20 & -1 & 0 & 0 & 0 \\ 233 & 22 & 465 & 229 & 20 & -410 & -389 & 86 \\ 385 & 793 & -241 & -149 & 305 & 244 & -460 & -545 \\ 439 & 137 & -877 & -360 & 468 & -700 & -18 & 959 \\ 1565 & -1333 & -1075 & 528 & -1287 & 508 & -788 & -327 \\ 655 & -1159 & 930 & -530 & 1923 & 1995 & -641 & 1022 \\ -1375 & 1105 & -499 & 1255 & -949 & 1180 & -1505 & 1780 \\ 2466 & 1620 & 307 & 4002 & 775 & 986 & 3444 & 835 \\ \end{bmatrix} $	$\begin{bmatrix} 3758 & -873 & -519 & -1 & 636 & 9 & -429 & -479 \\ -873 & 7118 & -18 & 2774 & -91 & -423 & -1334 & -135 \\ -519 & -18 & 1822 & 17 & 282 & -78 & 70 & -402 \\ -1 & 2774 & 17 & 8485 & -2504 & -708 & 22 & 687 \\ 636 & -91 & 282 & -2504 & 6178 & -107 & 586 & 225 \\ 9 & -423 & -78 & -708 & -107 & 3903 & -635 & -9904 \\ -429 & -1334 & 70 & 22 & 586 & -635 & 5449 & 602 \\ -479 & -135 & -402 & 687 & 225 & -904 & 602 & 5052 \end{bmatrix}$
$Y_{22}$	$\begin{bmatrix} 5 & -3 & -3 & -1 & -1 & -3 & -3 & -1 & -1$	$\begin{bmatrix} 245290 & 67346 & 43642 & 33983 & 37 & 8790 & 67640 & 11795 & 6716 \\ 67346 & 262681 & -47235 & -60196 & 1386 & -15331 & -35125 & -4652 & 21255 \\ 43642 & -47235 & 230858 & -23610 & -7313 & -78942 & 460 & 18674 & -1875 \\ 33983 & -60196 & -23610 & 29313 & -56794 & -5587 & 28035 & 3646 & 3783 \\ 37 & 1386 & -7313 & -56794 & 116143 & 891 & -8115 & -5728 & -26068 \\ 8790 & -15331 & -78942 & -5587 & 891 & 159853 & -29478 & -14768 & -1859 \\ 67640 & -35125 & 460 & 28035 & -8115 & -29478 & 217945 & -6899 & -36239 \\ 11795 & -4652 & 18674 & 3646 & -5728 & -14768 & -6899 & 124459 & 2908 \\ 6716 & 21255 & -1875 & 3783 & -26068 & -1859 & -36239 & 2908 & 120960 \end{bmatrix}$
$Y_{23}$	$\begin{bmatrix} -1 & -1 & 0 & 0 & -1 & 0 & 0 & 0 & -1 & 0 & 0 \\ 3 & 0 & -10 & -5 & -6 & -1 & 11 & -3 & -6 & 23 & 24 \\ -1 & 7 & 26 & 29 & 7 & -50 & -61 & -4 & 4 & 18 & 20 \\ -56 & -71 & 75 & 74 & -61 & -45 & 63 & 55 & -78 & -15 & -13 \\ -20 & -29 & 71 & 77 & -26 & 125 & -34 & -186 & -29 & 4 & 12 \\ 3 & -6 & 219 & -154 & -525 & -3 & -17 & 16 & 490 & 160 & -165 \\ 71 & 395 & 245 & -200 & -264 & 256 & -195 & 248 & -296 & -219 & 283 \\ -382 & 1053 & -281 & -46 & -387 & -664 & 309 & -650 & -488 & 157 & -530 \\ -61 & 135 & -946 & 906 & -666 & -101 & 25 & -28 & 493 & -776 & 714 \\ 25 & -59 & 764 & -724 & 359 & -748 & 769 & -732 & 442 & -855 & 883 \\ 2625 & 1525 & 824 & 1782 & 403 & 373 & 2243 & 358 & 385 & 1800 & 822 \end{bmatrix}$	$\begin{bmatrix} 3268 & -126 & -24 & -112 & -237 & 198 & -265 & 583 & 192 & -200 & 631 \\ -126 & 5487 & -1306 & -32 & 22 & -488 & 501 & -233 & -286 & 8 & 753 \\ -24 & -1306 & 3523 & -177 & 4 & 802 & 29 & 9 & -228 & -99 & 75 \\ -112 & -32 & -177 & 2815 & -3 & -4 & -57 & 928 & 47 & 597 & -258 \\ -237 & 22 & 4 & -3 & 4247 & 119 & -1336 & 82 & -822 & -708 & 8 \\ 198 & -488 & 802 & -4 & 119 & 3240 & 189 & 165 & 337 & -120 & 68 \\ -265 & 501 & 29 & -57 & -1336 & 189 & 4825 & 96 & -29 & 796 & 459 \\ 583 & -233 & 9 & 928 & 82 & 165 & 96 & 3654 & 262 & 374 & -122 \\ 192 & -286 & -228 & 47 & -822 & 337 & -29 & 262 & 4115 & -118 & 199 \\ -200 & 8 & -99 & 597 & -708 & -120 & 796 & 374 & -118 & 4024 & -139 \\ 631 & 753 & 75 & -258 & 8 & 68 & 459 & -122 & 199 & -139 & 4828 \end{bmatrix}$
$Y_{24}$	$\begin{bmatrix} -23 & -1 & -1 & 0 & 0 & -1 & -1 & -1 & 0 & 0 & -1 \\ 20 & 7 & 6 & -12 & -25 & 0 & -15 & -19 & 0 & 5 & 10 \\ -4 & -1 & 2 & 44 & 43 & -2 & -42 & -42 & -2 & -2 & 0 \\ 72 & 31 & 31 & -119 & 136 & 21 & 3 & 6 & 13 & 7 & 20 \\ -41 & -70 & 16 & -41 & -1 & -164 & -61 & 26 & 192 & 188 & -6 \\ -19 & -131 & -304 & -36 & 25 & 107 & 83 & -101 & -95 & 203 & 256 \\ -13 & -170 & -62 & -28 & 16 & 369 & 166 & -186 & 294 & 26 & -360 \\ 82 & -767 & 605 & 68 & 15 & 358 & -253 & 330 & -375 & 269 & 44 \\ 33 & 510 & -537 & 20 & 15 & 373 & -620 & 652 & -252 & 506 & -562 \\ -222 & 245 & 337 & -215 & -3 & -333 & 402 & -620 & -773 & 659 & -567 \\ 2058 & 955 & 634 & 2045 & 7 & 242 & 1551 & 501 & 533 & 1338 & 451 \end{bmatrix}$	$ \begin{bmatrix} 3039 & 256 & -4 & 11 & 33 & 616 & 259 & 212 & 195 & 517 & 213 \\ 256 & 2748 & -376 & -1 & -168 & -556 & 192 & -60 & 147 & -215 & -197 \\ -4 & -376 & 2699 & -164 & 9 & -114 & -33 & -861 & 7 & 513 & -52 \\ 11 & -1 & -164 & 3056 & -981 & -238 & 125 & -117 & 651 & -6 & -116 \\ 33 & -168 & 9 & -981 & 2948 & -11 & -251 & 5 & -464 & 102 & -130 \\ 616 & -556 & -114 & -238 & -11 & 3982 & 71 & 516 & 145 & 253 & -36 \\ 259 & 192 & -33 & 125 & -251 & 71 & 4861 & -1304 & 80 & 1182 & 218 \\ 212 & -60 & -861 & -117 & 5 & 516 & -1304 & 3960 & 18 & -14 & 8 \\ 195 & 147 & 7 & 651 & -464 & 145 & 80 & 18 & 2459 & 90 & -12 \\ 517 & -215 & 513 & -6 & 102 & 253 & 1182 & -14 & 90 & 5059 & 1081 \\ 213 & -197 & -52 & -116 & -130 & -36 & 218 & 8 & -12 & 1081 & 2689 \end{bmatrix} $
$Y_1$	$ \begin{bmatrix} -3 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 312 & -312 & -663 & -1314 & -1080 & 1080 & 1313 & 665 \\ 639 & 262 & 1834 & 1834 & -1199 & -1101 & 1007 & 1007 & -1100 & -1201 \\ -573 & -2813 & -954 & -953 & -1543 & 1304 & 1872 & 1872 & 1305 & -1544 \\ 0 & 0 & 1938 & -1939 & 3269 & 304 & -1822 & 1822 & -303 & -3269 \\ 0 & 0 & 6254 & -6254 & -1331 & -1182 & 4069 & -4069 & 1182 & 1331 \\ -2501 & 2818 & 1055 & 1055 & -5112 & 3150 & -4138 & 4138 & 3151 & -5112 \\ -123 & -9594 & 4954 & 4954 & 2208 & 1240 & -3751 & -3751 & 1240 & 2208 \\ 0 & 0 & 3904 & -3904 & -6195 & 8605 & -5528 & 5528 & -8605 & 6195 \\ 22183 & 7784 & 5318 & 5318 & 4055 & 15768 & 4304 & 4304 & 15768 & 4055 \end{bmatrix} $	$\begin{bmatrix} 15782 & 3040 & 944 & 1517 & -961 & 810 & -1074 -1074 & 3245 & -256 \\ 3040 & 28790 -3737 & -924 & -1274 & 561 & -1625 -1625 & 294 & -3563 \\ 944 & -3737 & 29348 & -126 & -1931 & 2745 & 203 & -3361 & -4007 & 3326 \\ 1517 & -924 & -126 & 21978 & 6048 & -1446 & -7055 & 153 & 828 & -318 \\ -961 & -1274 & -1931 & 6048 & 37807 & -12613 & -459 & -3449 & 188 & 3617 \\ 810 & 561 & 2745 & -1446 & -12613 & 36247 & 852 & -2975 & 6408 & -2004 \\ -1074 & -1625 & 203 & -7055 & -459 & 852 & 18359 & 322 & -974 & 2597 \\ -1074 & -1625 & -3361 & 153 & -3449 & -2975 & 322 & 18359 & 1369 & 1267 \\ 3245 & 294 & -4007 & 828 & 188 & 6408 & -974 & 1369 & 32772 & -4348 \\ -256 & -3563 & 3326 & -318 & 3617 & -2004 & 2597 & 1267 & -4348 & 27264 \end{bmatrix}$
$Y_{11}$	$\begin{bmatrix} -2 & 1 & 1 & 1 & 1 & 1 \\ 1218 & 1284 & 1319 & 2378 & -1339 & -3175 & -1273 \\ 11 & 2338 & -2256 & -81 & -4392 & 40 & 4398 \\ -981 & 5772 & 5824 & -8802 & -2137 & -362 & -2372 \\ 169 & -10840 & 10788 & -537 & -4810 & -823 & 6490 \\ 2335 & 1031 & -1134 & -5111 & 10842 & -11453 & 9705 \\ 26748 & 9331 & 9439 & 5079 & 7256 & 15899 & 7008 \end{bmatrix}$	[32150 -109 12752 4226 506 -460 13236] -109 21193 568 -2619 -6911 -795 -2712 12752 568 20891 130 -600 863 -5224 4226 -2619 130 19072 -4217 463 -1160 506 -6911 -600 -4217 20400 2412 -2691 -460 -795 863 463 2412 32551 -3917 13236 -2712 -5224 -1160 -2691 -3917 37492]  n the proofs of Theorem 25 and Lem-

Table 1. UV-certificates for matrices in the proofs of Theorem 25 and Lemmas 38, 39, and 40